

**Diversidade Genética e Padrões Filogeográficos da  
Lontra Neotropical (*Lontra longicaudis* [Olfers, 1818]):  
(Mammalia: Mustelidae)**

Cristine Silveira Trinca

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Pontifícia Universidade Católica do Rio Grande do Sul  
Faculdade de Biociências  
Programa de Pós-Graduação em Biociências - Zoologia

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Lontra Neotropical (*Lontra longicaudis* [Olfers, 1818]):  
(Mammalia: Mustelidae)**

Cristine Silveira Trinca

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Dissertação de Mestrado

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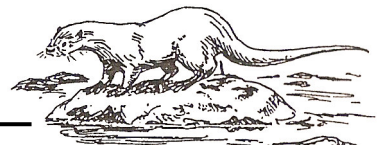
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## Resumo

O conhecimento sobre a estruturação geográfica da diversidade genética em populações naturais permite inferir os processos históricos atuantes sobre as espécies e é fundamental para o planejamento de estratégias eficazes de conservação biológica. Neste contexto, o presente estudo é o primeiro a identificar e caracterizar a variabilidade genética, padrões de estruturação populacional e história demográfica de *Lontra longicaudis*. Para tanto, foram utilizados três segmentos do DNA mitocondrial (mtDNA; porção hipervariável I da região controladora, gene *ATP8* e gene *ND5*), bem como 12 locos de microssatélite, em indivíduos amostrados em diferentes regiões de sua distribuição geográfica. Ambos os marcadores revelaram moderados a altos níveis de variabilidade genética e padrões filogeográficos claros, os quais sugerem que as populações brasileiras desta espécie encontram-se geneticamente diferenciadas das outras regiões amostradas a Noroeste da América do Sul. As análises de mtDNA indicam a provável existência de quatro entidades filogeográficas: Colômbia, Bolívia, Guiana Francesa/Peru e Brasil. Colômbia e Bolívia foram representadas por apenas um indivíduo cada, os quais revelaram grande divergência genética dos outros indivíduos amostrados, sugerindo profunda subdivisão filogeográfica envolvendo estas regiões. A alopatria entre Guiana Francesa e Brasil é quase completa, sugerindo que a incongruência entre filogenia e geografia possa ser decorrente de um processo de colonização ancestral no sentido Norte-Sul. A inferência de diferenciação genética entre Brasil e as outras áreas amostradas na América do Sul são apoiadas pelas análises de microssatélite. Os resultados obtidos a partir das análises de mtDNA indicam ausência de estruturação genética no Brasil e são indicativos de um cenário de expansão populacional recente nesta região. Os padrões observados neste estudo têm implicações para a conservação de populações naturais de *Lontra longicaudis*. As quatro entidades filogeográficas reconhecidas demonstram-se suficientemente diferenciadas e deveriam, portanto, ser conservadas e manejadas independentemente. Estudos adicionais são necessários para melhorar o conhecimento sobre estas populações, bem como para investigar a existência de outras unidades demográficas ao longo da distribuição da lontra Neotropical.

## **Capítulo I – Introdução Geral**

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## A Família Mustelidae

A Família Mustelidae compreende atualmente 25 gêneros e 67 espécies (Nowak 1999) colocadas em cinco subfamílias: Mustelinae, Melinae, Taxiidinae, Mellivorinae e Lutrinae (Dragoo & Honeycutt 1997; Koepfli & Wayne 2003; Flynn *et al.* 2005; Fulton & Strobeck 2006). Esta família compreende carnívoros de médio porte, dentre os quais se encontram os furões, texugos, lontras, martas e doninhas. Os membros desta família apresentam geralmente o corpo alongado, as patas relativamente curtas, e normalmente possuem uma cauda longa (Eisenberg & Redford 1999).

Mustelídeos habitam todos os continentes, exceto Austrália e Antártica, e não ocorrem em Madagascar nem em ilhas oceânicas. Membros desta família podem ser encontrados em ambiente terrestre, de água doce ou marinho. São principalmente carnívoros, e várias espécies incluem uma grande diversidade de vertebrados e invertebrados em sua dieta (Nowak 1999; Sato *et al.* 2003).

## A Subfamília Lutrinae

A subfamília Lutrinae compreende 13 espécies de lontras, distribuídas em seis gêneros: *Aonyx*, *Enhydra*, *Lutrogale*, *Pteronura*, *Lutra* e *Lontra* (Kruuk 2006). Recentemente, Zyll de Jong (1987) forneceu evidências filogenéticas de que as lontras do Novo Mundo (exceto *Pteronura*), até então incluídas no gênero *Lutra*, deveriam passar a compor um novo gênero, *Lontra*, o qual inclui quatro espécies: *Lontra provocax*, *L. felina*, *L. canadensis* e *L. longicaudis* (Kruuk 2006).

As lontras encontram-se distribuídas por todos os continentes, exceto Austrália e Antártica (Foster-Turley *et al.* 1990). Na região Neotropical ocorrem quatro espécies de lontras: *Lontra provocax*, *L. felina*, *L. longicaudis* e *Pteronura brasiliensis* (Chehébar 1990). Duas destas espécies de lontras ocorrem no Brasil, a lontra neotropical (*Lontra longicaudis*) e a ariranha ou lontra gigante (*Pteronura brasiliensis*) (Chehébar 1990), sendo que em algumas regiões do país estas ocorrem em simpatria. Ambas foram intensamente caçadas para utilização da pele até a década de 1980 (Duarte & Rebelo 1985), apesar de a caça ter se tornado uma atividade proibida por lei desde 1967, em todo o território nacional.

## A Lontra Neotropical

A lontra neotropical é um carnívoro de médio porte, de coloração marrom-pardacenta, quase preta, tendo apenas o lado ventral e o focinho amarelados. Possui grandes vibrissas que auxiliam na localização de presas embaixo d'água (Cimardi 1996). Suas patas apresentam

membranas interdigitais e sua cauda é longa e levemente achatada na extremidade, sendo ambas as estruturas adaptadas para a locomoção na água (Silva 1994).

É uma espécie de hábito semi-aquático, ocorrendo em uma grande variedade de habitats, em rios, lagos, pequenos canais, banhados e também ambientes marinhos associados a cursos d'água (p.ex. nos Estados de Santa Catarina e Rio de Janeiro) (Blacher 1987; Mason 1990; Fonseca *et al.* 1994); pode ser encontrada em uma ampla faixa de gradiente altitudinal (desde regiões litorâneas até cerca de 3.000 m de altitude) (Emmons 1990; IBAMA 1997). A dieta desta espécie, como a da maioria das espécies de lontras, consiste basicamente de peixes, crustáceos e moluscos, podendo ser complementada por aves, pequenos mamíferos, anfíbios e insetos (Chebez 1999).

Trata-se de uma das espécies de lontras menos conhecidas, sendo que as pesquisas realizadas até o momento são geograficamente restritas e direcionadas a estudos do hábito alimentar (Gallo 1986, 1989, 1997; Passamani & Camargo 1995; Spinola & Vaughan 1995; Parera 1996; Soldateli & Blacher 1996; Helder & De Andrade 1997; Pardini 1998; Colares & Waldemarin 2000; Quadros & Monteiro-Filho 2000, 2001; Utreras *et al.* 2002), distribuição local (Waldemarin 1997; Lacomba *et al.*, 2001), uso de abrigos como tocas e locais de descanso (Gallo 1989; Spinola & Vaughan 1995; Pardini 1999; Waldemarin & Colares 2000) e frequência de marcação (Soldateli & Blacher 1996; Spinola & Vaughan 1995). Além disso, estudos sobre reprodução e fisiologia da espécie foram realizados apenas em cativeiro (Colares & Silva 1987; Colares & Best 1991; Parera 1996).

A distribuição geográfica atual da espécie é pouco conhecida, embora seja descrita como ocorrendo amplamente, ao longo de uma faixa contínua que inicia no México, cobrindo praticamente todo continente sul-americano e terminando no nordeste da Província de Buenos Aires (Argentina) e sul do Uruguai, alcançando também o norte do Peru (Chehébar 1990; Chebez 1999; Eisenberg & Redford 1999), e estando distribuída em todo o território brasileiro (Emmons 1990).

Em termos de *status* de conservação em nível internacional, *L. longicaudis* é considerada como tendo “Dados Insuficientes” pela IUCN (IUCN 2006), e está incluída no Apêndice I (“Espécies ameaçadas”) da CITES (“Convention on International Trade in Endangered Species of Wild Fauna and Flora”) (IUCN 2006). No Brasil, esta espécie é categorizada como “Quase Ameaçada” (IBAMA 2004), embora em Estados como Minas Gerais, São Paulo, Paraná e Rio Grande do Sul esteja classificada como “Vulnerável” (Paraná 1995; Machado *et al.* 1998; São Paulo 1998; Indrusiak & Eizirik 2003).

Dentre as principais ameaças a esta espécie encontram-se os conflitos com pescadores e proprietários de criadouros de peixes, o desmatamento e outras alterações antrópicas das

margens dos rios (Macdonald & Mason 1985). A poluição das águas também é uma grave ameaça à espécie, uma vez que pode ter influência indireta ou direta na estabilidade das populações de lontras. Danos indiretos referem-se à diminuição do estoque de alimento e contaminação do ambiente. Efeitos diretos causam impacto no animal, resultando em sua morte ou reduzindo seu sucesso reprodutivo (Macdonald & Mason 1990). Além disso, freqüentemente são mortas por atropelamentos em estradas (Macdonald & Mason 1990) e a alta densidade populacional humana também é citada como um fator que pode levar ao seu desaparecimento (Fonseca *et al.* 1994; IBAMA 1997).

### **Estruturação Geográfica de Populações Naturais – Inferências Evolutivas e Implicações para a Conservação**

Uma das principais metas da Biologia da Conservação é a preservação da biodiversidade e a manutenção dos padrões e processos evolutivos que a geraram; para tal, é necessário elaborar programas adequados de conservação, nos quais deve ser decidido o que e como proteger (Johnson *et al.* 2001). Assim, uma área recente da Biologia, conhecida como Genética da Conservação, tem auxiliado na tomada destas decisões geralmente através da utilização de marcadores moleculares, os quais são em parte responsáveis pela possibilidade de estudar aspectos complexos de espécies e populações ameaçadas em condições naturais. A utilização de técnicas moleculares para este fim tem se expandido muito nas últimas duas décadas, sendo acompanhada também de uma crescente aceleração no desenvolvimento de métodos laboratoriais e analíticos empregados nestas abordagens (Frankham *et al.* 2002; Hey & Machado 2003; Luikart *et al.* 2003; DeSalle & Amato 2004; DeYoung & Honeycutt 2005; Kohn *et al.* 2006).

O conceito de filogeografia foi introduzido por Avise *et al.* (1987) para designar o estudo da distribuição da variabilidade genética de uma espécie em uma escala espacial e temporal. Os estudos filogeográficos têm por objetivo revelar a história evolutiva de uma linhagem, relacionando-a com sua distribuição geográfica, através, principalmente, das diferenças entre seqüências de DNA mitocondrial (mtDNA) (Avise 2000), utilizando as explicações geográficas históricas para interpretar as relações evolutivas entre os *taxa* (Stevens & Hogg 2003). Análises de padrões filogeográficos permitem a verificação de estruturação genética e a interpretação das possíveis barreiras ao fluxo gênico dentro e entre as espécies (p.ex. Eizirik *et al.* 2001), gerando um aumento do conhecimento sobre os processos históricos biogeográficos.

Além da análise dos níveis de diversidade genética, o acesso à distribuição geográfica desta variabilidade dentro de uma espécie é também de extrema importância para identificar e priorizar áreas nas quais programas de manejo e conservação devem ser elaborados (Moritz & Faith 1998). É fundamental avaliar se a espécie apresenta uma distribuição homogênea da

variabilidade genética ou algum grau de subdivisão, pois, no primeiro caso, qualquer área de sua distribuição é representativa da espécie enquanto que, havendo estruturação, a representatividade de cada sub-população deve ser preservada.

Assim, a partir de estudos de filogeografia podem-se identificar dois tipos de linhagens evolutivas para fins de manejo: (i) Unidades Evolutivamente Significativas (“Evolutionarily Significant Units” - ESUs) e (ii) Unidades de Manejo (“Management Units” - MUs). As primeiras são constituídas por unidades demográficas infra-específicas, distintas geograficamente e que se apresentam diferenciadas geneticamente (implicando isolamento histórico) de outras unidades semelhantes contidas na mesma espécie. As Unidades de Manejo estão contidas nas ESUs, e são formadas por populações regionais com restrita conexão demográfica entre si, mas não necessariamente com diferenciação genética profunda. Entretanto, em uma escala de tempo curta (uma ou poucas gerações), o contato entre estas populações através de migração ou re-colonização torna-se escasso, transformando-as em entidades ecológicas relativamente separadas, e que deveriam ser manejadas de forma independente ou coordenada (Ryder 1986; Moritz 1994; Eizirik 1996; Crandall *et al.* 2000; Fraser & Bernatchez 2001; Frankham *et al.* 2002)

### **Utilização de Marcadores Moleculares em Estudos Evolutivos e Populacionais**

Entre os marcadores moleculares mais freqüentemente empregados em estudos populacionais, evolutivos e/ou voltados para conservação destaca-se o DNA mitocondrial (mtDNA), como marcador de diversidade genética, sendo também muito útil em investigações sobre relações filogenéticas entre diferentes *taxa* e identificação de subdivisão geográfica entre unidades populacionais (Avice *et al.* 1987; Bermingham & Moritz 1998; Avice 2000).

Dois razões justificam a ampla utilização do polimorfismo de mtDNA na reconstrução de filogenias moleculares: primeiro, o mtDNA representa a parte mais bem conhecida do genoma animal e, segundo, a taxa de evolução do mtDNA é 5 a 10 vezes mais rápida do que a dos locos nucleares de cópia única na maioria das espécies de mamíferos (Avice *et al.* 1992; Baker *et al.* 1993; Martin & Palumbi 1993; Ballard & Whitlock 2004).

De um modo geral, mtDNA animal apresenta duas características que podem ser vistas em alguns contextos como vantagens sobre os marcadores nucleares: (i) a relação filogenética do mtDNA reflete a história de linhagens maternas dentro de uma população ou espécie; (ii) o tamanho efetivo da população do genoma mitocondrial é de  $\frac{1}{4}$  comparada aos genes autossômicos, levando a uma maior taxa de diferenciação local por deriva aleatória (Neigel & Avice 1986).

Além do mtDNA, o DNA nuclear tem se tornado cada vez mais utilizado em estudos evolutivos e populacionais. Dentre os marcadores nucleares mais amplamente empregados

encontram-se os microssatélites. Estes marcadores consistem de segmentos curtos de DNA (1-6 pares de bases), que se repetem em número variável em *tandem*, sendo em sua maioria, repetições de mono, tetra ou, principalmente, dinucleotídeos, apresentando-se como locos altamente polimórficos dispersos amplamente em genomas eucarióticos (Schlötterer 1998; Schlötterer 2004).

As características apresentadas pelos microssatélites (alto nível de polimorfismo, alta taxa de mutação, e tendência à neutralidade seletiva) permitem a sua utilização em estudos como comparação da variação genética entre espécies e populações (Johnson *et al.* 1999; Wisely *et al.* 2002), grau de estrutura das populações e migração (Ciofi & Bruford 1999; Waits *et al.* 2000; Cegelski *et al.* 2003) e determinação de parentesco e estrutura social (Nesje *et al.* 2000), os quais são extremamente necessários para o desenho de estratégias para a conservação de espécies ameaçadas. Além disso, permitem a utilização de DNA altamente fragmentado, em pequenas quantidades ou até mesmo de amostras antigas (Bruford & Wayne 1993).

## **Estudos Genéticos e Moleculares com Mustelídeos**

O papel dos carnívoros na regulação de comunidades e manutenção da biodiversidade vem sendo amplamente discutido nas últimas décadas (Kitching 1986; Fonseca & Robinson 1988; Terborgh 1990, 1992; Wright *et al.* 1994; Asquith *et al.* 1997). Estudos realizados com a lontra marinha (*Enhydra lutris*), por exemplo, identificaram a importância desta espécie na manutenção da biodiversidade das comunidades marinhas onde vive (Kitching 1986). A lontra neotropical, por sua vez, além de ser um carnívoro, é uma das únicas espécies de maior porte que ocupa esta posição nas cadeias alimentares de ambientes aquáticos onde ocorre e, assim, pode desempenhar uma importante função na regulação das comunidades destes sistemas. Apesar de ter sofrido com a caça excessiva no passado, atualmente a lontra neotropical parece estar distribuída de forma ampla no Brasil, ocorrendo inclusive em áreas moderadamente urbanizadas e onde problemas ambientais como poluição orgânica e desmatamento da mata ciliar estão presentes. No entanto, os registros de extinções locais sofridas pela lontra euro-asiática (*Lutra lutra*) em vários países europeus, e pela lontra norte-americana (*Lontra canadensis*) em diversas localidades dos Estados Unidos, demonstram que mesmo espécies altamente adaptáveis e flexíveis podem sofrer declínios populacionais extremos perante modificações ambientais intensas (Foster-Turley *et al.* 1990; Swimley *et al.* 1998; Reuther *et al.* 2000). Embora a lontra neotropical seja uma espécie considerada como apresentando algum grau de tolerância à presença humana, pouco se sabe sobre os efeitos desta aproximação sobre as populações de *L. longicaudis*.

Estudos genéticos e moleculares sobre os mustelídeos realizados até o momento são principalmente direcionados à lontra euro-asiática (*Lutra lutra*) (Dallas & Piertney 1998; Dallas *et*

*al.* 1999; Mucci *et al.* 1999; Dallas *et al.* 2000; Dallas *et al.* 2002; Randi *et al.* 2003; Arrendal *et al.* 2004; Huang *et al.* 2005), lontra norte-americana (*L. canadensis*) (Serfass *et al.* 1998; Blundell *et al.* 2002; Beheler *et al.* 2004; Beheler *et al.* 2005), texugo euro-asiático (*Meles meles*) (Frantz *et al.* 2003; Pope *et al.* 2005), glutão ou carcaju (*Gulo gulo*) (Walker *et al.* 2001; Kyle & Strobeck 2001) e marta-americana (*Martes americana*) (Broquet *et al.* 2006).

Os únicos estudos genéticos incluindo *L. longicaudis* publicados até o momento são análises filogenéticas da família Mustelidae e da Subfamília Lutrinae como um todo, os quais não abordam quaisquer aspectos específicos desta lontra em particular (Zyll de Jong 1987; Koepfli & Wayne 1998; Marmi *et al.* 2004; Koepfli & Wayne 2003). Sendo assim, não existe até o momento qualquer estudo publicado voltado para a investigação de parâmetros genéticos das populações de *L. longicaudis*.

O presente estudo tem por objetivo, através da utilização da variação em seqüências do mtDNA e microssatélites, (1) identificar e caracterizar subdivisões geográficas em populações de *Lontra longicaudis*, e (2) a partir dos padrões observados inferir os processos históricos que atuaram sobre esta espécie, bem como comparar os padrões encontrados com aqueles descritos para outras espécies de vertebrados, além de avaliar o desempenho destes marcadores para estudos evolutivos e populacionais de mustelídeos.

**Capítulo II - Artigo**

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**“Phylogeographic Patterns and Evolutionary History of the  
Neotropical otter (*Lontra longicaudis*)”**

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EDUARDO EIZIRIK

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1 **Phylogeographic Patterns and Evolutionary History of the Neotropical otter**

2 **(*Lontra longicaudis*)**

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20  
21 Running title: Phylogeography of *Lontra longicaudis*

22 Keywords: *Lontra longicaudis*, mitochondrial DNA, microsatellite, genetic diversity,  
23 population structure, phylogeographic patterns

24 **Abstract**

25           The Neotropical otter (*Lontra longicaudis*) is a medium-sized carnivore with a broad  
26 distribution in the Neotropical region. This species, as well as other otter species, suffered  
27 with hunting in parts of its range until 1960s due to the high value of its pelts. Despite being  
28 apparently common in many areas, it is one of the least known otters, and genetic studies on  
29 this species are scarce. Here we have investigated its genetic diversity, population structure  
30 and demographic history across part of its geographic range by analyzing 1472 base pairs  
31 (bp) of mitochondrial DNA (mtDNA) and 12 microsatellite loci. *L. longicaudis* exhibits high  
32 levels of mtDNA and microsatellite diversity. Both mitochondrial and nuclear data revealed a  
33 consistent phylogeographic pattern, indicating that Brazilian populations are genetically  
34 distinct from sampled populations distributed in Northwestern South America. The mtDNA  
35 data indicates the probable existence of four phylogroups occurring in Brazil, French  
36 Guiana/Peru, Bolivia and Colombia, respectively. The single Colombian haplotype was the  
37 most basal relative to all other lineages, suggesting a substantial isolation of this phylogroup.  
38 No substantial geographic substructure is observed within the Brazilian cluster, but a  
39 demographic expansion is inferred. Inferences on the history of these phylogroups and  
40 possible causes of such patterns are drawn, based on phylogenetic and population-genetic  
41 approaches. Implications of the observed patterns for the conservation of *L. longicaudis* are  
42 addressed, highlighting the finding that broad geographic regions contain differentiated  
43 population segments that likely warrant independent management status.

44

45 **Introduction**

46 Phylogeographic studies of widely distributed semi-aquatic mammals can provide a  
47 natural link between the geological and biotic evolution of an area, since dispersal of these  
48 species is largely related to the direct connection among basins, and the history of basin  
49 interconnections is a result of geological processes (Bermingham & Martin 1998). In this  
50 context, mitochondrial DNA (mtDNA) genealogies have been used extensively to infer  
51 processes at population levels and the phylogenetic diversification of taxa in relation to their  
52 geographical distribution (Awise *et al.* 1987; Awise 2000). However, mtDNA reflects only a  
53 portion of the total historical record of a matriarchal component of the organismal pedigree  
54 (Awise 1994), and thus a more complete view can be obtained by additional investigation of  
55 nuclear loci. In this context, microsatellites have an interesting informative potential for  
56 demographic analysis, due to their rapid mutation rate and a biparental inheritance  
57 (Frankham *et al.* 2002).

58 Processes in the demographic history of populations, such as expansions or  
59 contractions, leave recognizable signatures in the pattern of molecular diversity (Harpending  
60 *et al.* 1998; Schneider & Excoffier 1999). Historical events can affect population size, and  
61 may result in low levels of genetic diversity in current populations even if these populations  
62 do not present small size. Moreover, climatic changes as occurred during the Pleistocene  
63 have been responsible for the current patterns of genetic variation in many species (Lessa *et al.*  
64 *et al.* 2003).

65 So far, few studies have addressed the evolutionary history of Neotropical taxa from  
66 an intra-specific phylogeography perspective, so that most of the evolutionary aspects still  
67 need to be investigated. Some studies have investigated phylogeographic patterns in  
68 Neotropical vertebrates (Eizirik *et al.* 1998; Lovejoy & de Araújo 2000; Eizirik *et al.* 2001;  
69 Cantanhede *et al.* 2005; Vianna *et al.* 2006; Graziotin *et al.* 2006; Marquéz *et al.* 2006;  
70 Tchaicka *et al.* 2007), but the region has still been poorly characterized with respect to widely  
71 distributed semi-aquatic mammals.

72 *Lontra Longicaudis* is a medium-size semi-aquatic carnivore, a relatively common  
73 species widely distributed in the Neotropical region that exhibits a fish-based diet,  
74 complemented by crustaceans and mollusks (Chebez 1999). In the past, and especially  
75 during the last two centuries, humans made heavy use of otters for skins. Otter pelts were  
76 very much in demand in the international market during the first half of the 20th century  
77 (Chehébar 1990). Although some illegal hunting continues, this species has been relatively  
78 free of exploitation since the 1960s, when the hunting pressure declined significantly, due to  
79 the growing concern for wildlife conservation and because of the Latin American countries

80 enforcing CITES regulations (Chehébar 1990). However, throughout this range, this mustelid  
81 has been subjected to persecution because its supposed predation on fish stocks  
82 (Macdonald & Mason 1990) and are also heavily killed on roads. Despite that, the species is  
83 considered as “Data Deficient” by IUCN (2006), but in several localities of its distribution it is  
84 categorized as “Near Threatened” or “Vulnerable” (Chehébar 1990).

85 Most otter species are poorly known and are important targets for conservation due to  
86 be indicator of healthy aquatic environments. As otters are typical animals at the top of the  
87 food chain, they are among the first species to refuse and disappear when the environment  
88 is degraded (Foster-Turley *et al.* 1990). However, even basic information is difficult to obtain  
89 because otters are rarely observed, and are very difficult to trap, mark and recapture (Dallas  
90 & Piertney 1998). Some studies have addressed aspects of intra-specific genetic diversity  
91 and population structure of basically three otter species (Bodkin *et al.* 1999; Blundell *et al.*  
92 2002; Dallas *et al.* 2002; Larson *et al.* 2002; Pérez-Haro *et al.* 2005); however, no large-scale  
93 study has yet been published on the Neotropical otter (*Lontra longicaudis*).

94 The present study aims to characterize the genetic structure and evolutionary history  
95 of Neotropical otter populations on a broad geographic scale, based on the analysis of  
96 independent loci that represent both the nuclear and mitochondrial genomes.  
97 Phylogeographic partitions and estimated population genetic parameters are used to infer  
98 the evolutionary history of this species. Since this is the first phylogeographic study of a  
99 Neotropical semi-aquatic mammal, we aimed to test whether the observed patterns were  
100 congruent with those observed in terrestrial taxa (e.g. other carnivores, small mammals -  
101 Eizirik *et al.* 1998; Eizirik *et al.* 2001; Costa 2003; Tchaicka *et al.* 2007) or aquatic species  
102 (e.g. manatees, fish, caimans – Lovejoy & de Araújo 2000; Sivasundar *et al.* 2001;  
103 Cantanhede *et al.* 2005; Vianna *et al.* 2006; de Thoisy *et al.* 2006), or yet presented unique  
104 features not yet detected in other organisms.

105

## 106 **Materials and Methods**

### 107 ***Sample collection***

108 Biological samples were collected from 45 Neotropical otters across a large area of  
109 the species’ range (Fig. 1; Table 1). Blood samples were obtained from captive individuals  
110 (with known geographic origin). Tissue samples were collected opportunistically by  
111 collaborators from road-killed animals. Scat samples were collected by field researchers and  
112 from captive individuals. A hair sample was obtained from one dead animal. Blood samples  
113 were preserved in a salt saturated solution (100mM Tris, 100mM EDTA, 2% SDS) and  
114 muscle and scat samples were preserved in ethanol 96%. All samples were stored at -20°C

115 prior to DNA extraction. Samples of *Lontra canadensis*, *Aonyx cinereus* and *Pteronura*  
116 *brasiliensis* were also included to be used as outgroups in some of the analyses.

117

### 118 **DNA extraction and molecular analyses**

119 Genomic DNA was extracted from blood and tissue samples using a standard  
120 Proteinase-K digestion and phenol-chloroform-isoamyl alcohol protocol (Sambrook *et al.*  
121 1989). DNA from hairs was extracted using the ChargeSwitch® Forensic DNA Purification Kit  
122 (Invitrogen). DNA from scats was extracted using the QIAamp DNA Stool Mini Kit (Qiagen)  
123 following the manufacturer's instructions. The scat DNA extractions were carried out in a  
124 separate laboratory area, in a UV-sterilized laminar flow hood, dedicated to the DNA analysis  
125 of non-invasive samples.

126 Three segments of the mitochondrial DNA were amplified by the Polymerase Chain  
127 Reaction (PCR, Saiki *et al.* 1985): (I) the 5' portion of the mtDNA control region (CR),  
128 containing the first hypervariable segment, using primers MTLPRO2 (5'-  
129 CACTATCAGCACCCAAAGCTG-3') and CCR-DR1 (5'-CTGTGACCATTGACTGAATAGC-  
130 3'); (II) a segment of the *ATP8* gene using primers ATP8-DF1 (5'-  
131 AGAAGCTAAATAAGCATTACCTTTTA-3') and ATP8-DR1 (5'-  
132 CCAGTATTTGTTTTGATGTTAGTTG-3'); and (III) a segment of the *ND5* gene using primers  
133 ND5-DF1 (5'-TTGGTGCAACTCCAAATAAAAGT-3') and ND5-DR1 (5'-  
134 AGGAGTTGGGCCTTCTATGG-3').

135 Since fecal samples tend to present degraded DNA, hindering the sequencing of long  
136 fragments, we designed internal primers for the control region dividing it into three shorter,  
137 overlapping fragments (each one with *ca.* 250 bp). Through this strategy it was possible to  
138 obtain a complete sequence of the CR fragment from fecal samples. These primers are:  
139 LonCR-R1 (used in combination with MTLPRO2) (5'-ATGGTTTCTCGAGGCATGGT-3'),  
140 LonCR-F2 (used in combination with CCR-DR1) (5'-AACTATACCTGGCATCTGGTTCTT-3'),  
141 and the internal pair LonCR-F1 (5'-GGTTTGCCCCATGCATATAA-3') + LonCR-R2 (5'-  
142 TGTGTGATCATGGGCTGATT-3'). The scat samples were amplified only for the mtDNA  
143 control region.

144 Each 20ul PCR reaction contained 1-2 ul of DNA, 1x PCR Buffer (Invitrogen), 1.5 -  
145 2.0 mM MgCl<sub>2</sub>, 200 uM dNTPs, 0.2uM of each primer and 0.5 unit of Taq DNA Polymerase  
146 (Invitrogen). The PCR conditions were the same for the three mitochondrial segments, and  
147 began with 10 cycles (*Touchdown*) of 94°C for 45s, 60-51°C for 45s, 72°C for 1.5 min; this  
148 was followed by 30 cycles of 94°C for 45s, 50°C for 45s, 72°C for 1.5 min and final extension  
149 of 72°C for 3 min. Products were visualized on a 1% agarose gel stained with ethidium

150 bromide, purified with PEG8000, sequenced using the *DYEnamic ET Dye Terminator*  
151 *Sequencing Kit* (Amersham Biosciences), and analyzed in a MegaBACE 1000 automated  
152 sequencer (Amersham Biosciences). Sequences were deposited in GenBank under  
153 accession numbers XXXX-XXXX.

154 DNA extracts were also typed by PCR for 12 microsatellite loci (Lut453, Lut733,  
155 Lut782, Lut701, Lut818, RIO06, RIO07, RIO11, RIO17, RIO18, RIO19 and RIO20),  
156 developed for two other species of otters (*Lutra lutra* and *Lontra canadensis*) (Dallas *et al.*  
157 1998; Beheler *et al.* 2004, 2005). Every forward primer was 5'-tailed with an M13 sequence  
158 (5'- CACGACGTTGTAAAACGAC-3') (Boutin-Ganache *et al.* 2001), and used in combination  
159 with an M13 primer that had the same sequence but was dye-labeled on its 5' end. The PCR  
160 reactions were performed in 10ul reactions containing 0.5 – 1.5 ul of empirically diluted DNA,  
161 1x PCR Buffer (Invitrogen), 1.5 - 2 mM MgCl<sub>2</sub>, 200 uM dNTPs, 0.2 uM of the reverse and  
162 M13-fluorescent primers, 0.0133 uM of the M13-tailed forward primer, and 0.5 unit of Taq  
163 DNA Polymerase. The PCR conditions were the same as for the mitochondrial segments,  
164 except for a 30min final extension at 72°C. PCR reactions were carried out for each locus  
165 separately, and products from 1 to 3 loci were diluted and pooled together based on yield,  
166 size range and fluorescent dye, and then analyzed in a MegaBACE 1000 automated  
167 sequencer.

168

### 169 **Sequence analysis**

170 Sequences were visually checked and manually corrected using CHROMAS 2.0  
171 (<http://www.thecnelysium.com.au/chromas.html>) and aligned with the CLUSTALW algorithm  
172 implemented in MEGA 3.1 (Kumar *et al.* 2004), with the resulting alignments edited by hand.  
173 MEGA was also used to perform initial sequence comparisons and computations of  
174 variability.

175 Phylogenetic analyses were performed separately for the mtDNA control region (CR),  
176 coding fragments (*ATP8+ND5*), and full concatenation (CR+*ATP8+ND5*). The data sets were  
177 assessed for the most appropriate model of nucleotide substitution using the Akaike  
178 Information Criterion (AIC) as implemented in Modeltest 3.07 (Posada & Crandall 1998). We  
179 inferred phylogenetic relationships among haplotypes using PAUP\* 4.0b10 (Swofford 2002)  
180 for three different optimality criteria: (i) maximum likelihood (ML) employing the selected  
181 model and estimated parameters, with a heuristic search started from a neighbor-joining (NJ)  
182 tree and using the nearest-neighbor interchange (NNI) branch-swapping method; (ii)  
183 distance-based, using the (NJ) algorithm and ML genetic distances; and (iii) maximum  
184 parsimony (MP) using heuristic searches with 50 replicates of random taxon addition and

185 tree-bisection-reconnection (TBR) branch-swapping. Group support for all of the above  
186 methods was evaluated with 100 nonparametric bootstrap replicates. Additionally, we  
187 performed Bayesian phylogenetic analyses (BI) with MrBayes 3.1 (Huelsenbeck & Ronquist  
188 2001), with four Metropolis-coupled Markov Chain Monte Carlo (MCMC) chains run for 1  
189 million generations. Trees were sampled every 100 generations, discarding the first 2,500  
190 trees as burn-in. Two independent runs were performed for each data set to evaluate  
191 convergence. We used *Lontra canadensis*, *Aonyx cinereus* and *Pteronura brasiliensis* as  
192 outgroups in the phylogenetic analyses.

193 A median-joining network (Bandelt *et al* 1999) was constructed using Network 4.1.1.2  
194 ([www.fluxus-engineering.com](http://www.fluxus-engineering.com)) to depict phylogenetic, geographic, and potential ancestor-  
195 descendent relationships among the sequences. Divergence times between inferred clades  
196 were estimated using a distance-based linearized tree method implemented in MEGA,  
197 employing a molecular calibration of 5.9 million years ago (MYA) (credibility interval (CI): 4 -  
198 8.3 MYA) for the evolutionary separation between the *Aonyx* and *Lontra* lineages (E. Eizirik  
199 *et al.* unpublished).

200 Population structure analyses were performed assuming broad geographic units  
201 based on the observed phylogeographic pattern (see Results). The testing of additional,  
202 alternative scenarios of geographic subdivision could not be adequately performed with this  
203 approach due to limitations of sample size for some of the included areas. As measures of  
204 differentiation among populations, we estimated fixation indices ( $F_{ST}$ ) (Wright 1965), using an  
205 Analysis of Molecular Variance (AMOVA) approach (Excoffier *et al.* 1992) implemented in  
206 ARLEQUIN 2.0 (Schneider *et al.* 2000).

207 A Mantel test (Mantel 1967) as implemented in AIS 1.0 (Miller 2005) was performed  
208 to test the null hypothesis of no correlation between geographic and genetic distances.  
209 Statistical significance was tested using 1000 random permutations. Statistics such as  
210 nucleotide ( $\pi$ ) and haplotype ( $h$ ) diversity, neutrality tests such as Tajima's D (Tajima 1989),  
211 Fu and Li's  $F^*$  &  $D^*$  (Fu & Li 1993), and Fu's  $F_s$  (Fu 1997), and Mismatch Distribution  
212 Analyses (Rogers & Harpending 1992) were computed using DnaSP (Rozas *et al.* 2003) and  
213 ARLEQUIN.

214

### 215 **Microsatellite analyses**

216 Microsatellite genotyping was performed using the software Genetic Profiler 2.2  
217 (Amersham Biosciences). We calculated observed ( $H_o$ ) and expected ( $H_e$ ) heterozygosity for  
218 each locus and tested for evidence of deviation from Hardy-Weinberg equilibrium (HWE) and  
219 linkage equilibrium (LE) using CERVUS 2.0 (Marshall *et al.* 1998) and ARLEQUIN. To

220 correct for multiple comparisons, Bonferroni adjustments (Rice 1989) with an original  $\alpha$  level  
221 of 0.05 were carried out for all tabulated results. Using the unlinked loci that were in HWE,  
222 we performed a G-test to evaluate the null hypothesis that allelic frequencies were identical  
223 across populations (Sokal & Rohlf 1981).

224 The degree of population genetic structure was estimated with the  $F_{ST}$  index using an  
225 Analysis of Molecular Variance (AMOVA) approach implemented in ARLEQUIN. For  
226 comparison, we also calculated  $R_{ST}$ , an analogous measure designed for microsatellite data  
227 that incorporates a stepwise mutation model (Slatkin 1995). As an independent measure of  
228 the partitioning of genetic variation among groups, the program STRUCTURE 2.0 (Pritchard  
229 *et al.* 2000) was used to cluster individuals into subpopulations and to reveal patterns of  
230 gene flow across the sampled area. STRUCTURE uses an iterative approach to cluster  
231 microsatellite genotypes into  $K$  populations regardless of the geographic locations of  
232 individuals. The approach is based on the assumptions of Hardy-Weinberg and linkage  
233 equilibrium within the resulting clusters, so that the likelihood of  $K$  is estimated from the  
234 genotype data alone. The highest likelihood value indicates the most likely number of  
235 populations in the sample. Individuals can be assigned to one or more populations, including  
236 the possibility of admixture. The first step of this analysis involved estimating the numbers of  
237 populations ( $K$ ). Five independent runs each of  $K = 1-5$  were performed with  $10^4 - 10^5$   
238 MCMC iterations after a burn-in of  $10^4 - 10^5$ , using no prior information and assuming  
239 uncorrelated allele frequencies and allowing admixture. In the second step of the analysis,  
240 individuals were assigned to each original geographic sample group (using  $K = 2$ ; see  
241 Results). To evaluate the STRUCTURE results in determining how indicative an individual's  
242 genotype was of the population from which it was sampled, we performed an assignment test  
243 (Paetkau *et al.* 1995) as implemented in ARLEQUIN.

244 A Mantel test was performed with the program AIS to assess the significance of the  
245 association between genetic and geographic distances. Statistical significance was tested  
246 using 1000 random permutations.

247

## 248 **Results**

### 249 ***mtDNA sequences***

250 A 516 base-pair (bp) fragment of the control region (CR) was sequenced for 44 *L.*  
251 *longicaudis* individuals. Due to a portion of ambiguous alignment, 25 sites from the control  
252 region were excluded from all further analyses, totaling 491 bp. Sequences of the *ATP8* (329  
253 bp) and *ND5* (651 bp) genes were obtained for 37 Neotropical otters each (Table 2).  
254 Outgroups were sequenced for these three fragments yielding the same sequence length,



255 except for *Aonyx cinereus* whose control region segment was 1 bp longer than the remaining  
256 individuals (so that the total alignment was 517 bp-long). The three segments were  
257 concatenated totaling 1472 bp.

258 Moderate to high levels of genetic diversity were observed in all three segments  
259 (Table 2). The CR was the most variable segment when *L. longicaudis* samples were  
260 analyzed separately, but showed clear indications of saturation when other species were  
261 also included in the comparisons (see Table 2). Separate analyses were conducted for three  
262 different mtDNA data sets: (i) control region alone; (ii) coding segments (*ATP8* + *ND5*); and  
263 (iii) full concatenation (CR + *ATP8* + *ND5*).

264

### 265 **Control region data set**

266 Sequences generated for this segment defined 23 different haplotypes (Table 3). A  
267 relatively high level of nucleotide diversity was observed among individuals (Table 2). The  
268 Hasegawa-Kishino-Yano (Hasegawa *et al.* 1985) substitution model with a proportion of  
269 invariant sites and a gamma distribution of rate heterogeneity across sites (HKY+I+G)  
270 provided the best fit to this data set (ln = -1363.4178). The MP, ML, NJ and Bayesian  
271 phylogenetic analyses did not conflict with each other in the inferred tree topology, but were  
272 unable to resolve the relationships among the haplotypes (Fig. 2). The only supported  
273 grouping obtained with this segment was a clade containing most haplotypes from French  
274 Guiana and one sequence from Peru (subsequently named Clade 2 – see below). The  
275 haplotype network also failed to show a clear pattern, with a considerable amount of  
276 reticulation indicating the occurrence of homoplasy and likely saturation at variable sites (Fig.  
277 3).

278 In spite of the apparent lack of discernible phylogenetic structure, the  $F_{ST}$  between  
279 two broadly defined geographic groups (Brazil vs. Northwestern South America; see below)  
280 was quite high ( $F_{ST} = 0.45$ ;  $p = 0.000$ ). Of the neutrality tests performed, only Fu's  $F^*$  was  
281 significantly negative (Fu's  $F^* = -6.546$ ,  $p = 0.001$ ) when the Brazilian samples were analyzed  
282 separately, while all other tests were non-significant for separate or combined population  
283 samples. The mismatch distribution showed a multi-modal pattern for most data sets, except  
284 for the analysis of Brazilian individuals by themselves, which resulted in a roughly unimodal  
285 peak (Fig. 4A).

286

### 287 **Combined data sets**

288 Sequences from the concatenations of the *ATP8* and *ND5* segments ( $n = 36$ ; data set  
289 II) and *ATP8* + *ND5* + CR ( $n = 35$ ; data set III) led to the observation of 19 and 26 unique

290 haplotypes, respectively (Tables 4 and 5). Moderate to high levels of nucleotide and gene  
291 diversity were observed for these data sets in Neotropical otter individuals (Table 6).

292 The TIM + I and the TIM + I + G models were selected for AIC as the best model of  
293 nucleotide substitution to data sets II and III, respectively ( $\ln = -3260.5420$ ;  $\ln = -$   
294  $4453.25662$ ), and were applied in all subsequent model-based analyses. All trees produced  
295 with different phylogenetic methods were congruent, with mostly subtle differences in nodal  
296 support (Figs. 5 and 6). With these data sets a clear phylogeographic pattern could be  
297 observed, with all samples from Brazil clustering in a single, well-supported and very shallow  
298 clade. The shape of its internal phylogeny, with very short branches, little structure and no  
299 evidence of geographic differentiation (in spite of a broad sampling across Brazil), is  
300 suggestive of a recent population expansion in this area (Lavery *et al.* 1996; Avise 2000).

301 The sister-group to this Brazilian clade was a single haplotype (LI-AN15 and LI-  
302 ANC23 in the data sets II and III, respectively) found in two different individuals from French  
303 Guiana (bLlo41 and bLlo66). Together, this haplotype and the Brazilian sequences formed a  
304 well-supported phylogenetic group (indicated as Clade 1 in Figs. 5 and 6). A second well-  
305 supported monophyletic cluster (Clade 2) included only haplotypes found in French Guiana  
306 and Peru, with some indication of possible sub-structuring in this area.

307 The haplotype found in Bolivia (LI-AN16 and LI-ANC24 in data sets II and III,  
308 respectively) was not contained in either Clade 1 or 2, but rather was similarly divergent from  
309 both of them (Figs. 5 and 6). Its exact placement was not identical with the two data sets:  
310 with *ATP8 + ND5*, it was positioned in a trichotomy with Clades 1 and 2, whereas in the full  
311 concatenation (data set III), it was a sister-group to Clade 2, albeit with weak bootstrap  
312 support. This suggests that Bolivia may contain a third phylogenetic lineage separate from  
313 Clades 1 and 2, whose exact relationships should be further investigated with additional  
314 sampling. Furthermore, the individual from Colombia (bLlo23) contained a very distinct  
315 haplotype (LI-AN14 and ANC21 in data sets II and III, respectively), which was consistently  
316 placed as the most basal lineage of all *L. longicaudis*.

317 The haplotype network produced with *ATP8 + ND5* (Fig. 7) depicted a clear  
318 phylogeographic pattern, with at least 6 mutational steps separating the samples belonging  
319 to clades 1 and 2 recovered in the phylogenetic analyses. A star-shaped pattern with several  
320 localized lineages connected by short branches to a more common, widespread haplotype, is  
321 suggestive of a relatively recent population expansion in the Brazilian group. In contrast, the  
322 median-joining network produced with data set III (Fig. 8) was not efficient at resolving the  
323 relationships among the individuals, probably due to saturated mutation sites in the control  
324 region; nevertheless, a similar star-shaped pattern could be observed in some Brazilian

325 haplotypes. Again, individuals bLlo41 and bLlo66 were positioned near the Brazilian group  
326 instead of being placed in association with other samples from their geographic region  
327 (French Guiana).

328 The AMOVA results indicated that most of the genetic variability in *L. longicaudis*  
329 mtDNA could be explained by a single Northwest vs. Southeast partition (*i.e.* Brazil [SE] vs.  
330 all other sampled locations [NW]. The  $F_{ST}$  between these two geographic groups was high  
331 (0.65,  $p < 0.001$ ; and 0.54,  $p < 0.001$ , for data sets II and III, respectively), as expected due  
332 to their almost perfect allopatry. Given this result and the available sample, we focused  
333 several geography-based analyses on these two broad units, even though the NW group  
334 seems to contain a diverse assembly of non-monophyletic historical lineages (Figs. 5 and 6).

335 For the complete *L. longicaudis* sample, as well as and for the NW geographic group,  
336 all neutrality tests were non-significant. In contrast, significantly negative results were  
337 obtained for the Brazilian group (Fu's  $F^* = -8.6625$ ,  $p < 0.001$ , data set II; Fu's  $F^* = -6.793$ ,  $p$   
338  $< 0.001$ ; Tajima's  $D = -5.872$ ,  $p = 0.02$ , data set III), congruent with the inference of a recent  
339 population expansion in this region. The mismatch distribution analyses taking into account  
340 the whole species and the NW geographic group showed a multimodal distribution (not  
341 shown), which is expected given the observed phylogenetic structure in this area. On the  
342 other hand, the Brazilian sample revealed a unimodal pattern (Fig. 4B,C), which is again  
343 consistent with a historical demographic expansion.

344 The Mantel test was performed only with data set III (CR + *ATP8* + *ND5*) and  
345 revealed a weak but significant relationship between genetic and geographic distances when  
346 the entire sample was compared ( $r = 0.29$ ;  $p = 0.02$ ). However, when the two geographic  
347 groups of samples were analyzed individually, the correlation was very low and non-  
348 significant (SE:  $r = 0.054$ ,  $p = 0.1$ ,  $n = 29$ ; NW:  $r = 0.034$ ,  $p = 0.41$ ,  $n = 7$ ).

349 The divergence between *Lontra longicaudis* and *L. canadensis* was dated to *ca.* 4.16  
350 MYA (confidence interval [CI]: 2.82 – 5.85 MYA). Within *L. longicaudis*, the divergence  
351 between the Colombian haplotype and the other lineages was estimated to have occurred  
352 around 0.644 MYA (CI: 0.437 – 0.906 MYA), while the three-way split among the Bolivian  
353 haplotype and Clades 1 and 2 was estimated to have occurred at *ca.* 0.450 MYA (CI: 0.303  
354 – 0.629 MYA). The diversification within clades occurred soon afterwards. Of particular  
355 interest is the age of the base of Clade 1 (separation between the haplotype from French  
356 Guiana and the Brazilian group), estimated at 0.422 MYA (CI: 0.286 – 0.594), and the  
357 coalescence of the Brazilian clade itself (dated at 0.196 MYA; CI: 0.133 – 0.276).

358 **Microsatellite data set**

359 All twelve microsatellite loci analyzed were polymorphic for *L. longicaudis* (n = 36);  
360 however, two loci (RIO17 and Lut818) presented low amplification efficiency and were thus  
361 excluded from analyses. All individuals genotyped for the remaining ten loci presented  
362 unique multilocus composite genotypes. Moderate levels of genetic variability were found,  
363 with each locus yielding between 6 and 11 alleles and an average expected heterozygosity  
364 of 0.766 (Table 7). All loci were in linkage equilibrium in both populations after Bonferroni  
365 adjustments ( $\alpha = 0.05$ ). No significant departure from Hardy-Weinberg expectations was  
366 observed in NW geographic group after the Bonferroni correction ( $\alpha = 0.05$ ), but three  
367 departures from HWE were found in the Brazilian [SE] group (RIO07, RIO18 and RIO20). All  
368 departures from HWE were found to be heterozygote deficits, which can imply the presence  
369 of null alleles in this group. Comparative analyses excluding the loci that were out of  
370 equilibrium in any geographic group (uncorrected assessment) indicated no significant  
371 change in the results relative to our complete data set (data not shown). We have, therefore,  
372 used the complete data set of 10 loci throughout the analyses presented here.

373 As with the mtDNA data, the statistical analyses of population differentiation were  
374 performed assuming broad geographic units (Brazil [SE] and NW). Both  $F_{ST}$  and  $R_{ST}$  values  
375 were significant, indicating low to moderate structuring in this data set ( $F_{ST} = 0.058$ ,  $p =$   
376  $0.004$ ;  $R_{ST} = 0.085$ ,  $p = 0.026$ ). These results are in agreement with mitochondrial analyses,  
377 showing a partition between Brazil and Northwestern South America. However, the  
378 magnitude of the differentiation is almost 10-fold lower in the microsatellite markers relative  
379 to the mtDNA data sets.

380 The G-test did not support a significant difference between these two major groups in  
381 their allele frequency distributions ( $p > 0.05$ ). However, in the assignment test 88.9% (n = 32)  
382 of individuals were correctly allocated in their geographic group of origin. This result indicates  
383 that there is sufficient genetic distinction between these groups to allow mostly accurate  
384 assignments, in agreement with the significant results observed with the AMOVA.

385 Using the Bayesian clustering procedure, we initially evaluated the most likely  
386 subdivision scenario without using the information of the known geographic origin of each  
387 individual, and the probability of the observed data was maximum with two populations ( $k =$   
388  $2$ ;  $\ln = -1182.93$ ). We observed that 65% of individuals known to be from the same broad  
389 geographic area were assigned to the same genetic cluster. To better investigate the genetic  
390 composition of our data set, we performed a second set of analyses with STRUCTURE using  
391 population information. In this case, we considered the two geographic groups as separate  
392 populations, and the results were more compelling than in the first set of analysis, with

393 individuals that were *a priori* grouped in the SE and NW groups being correctly assigned with  
394 97% and 89% accuracy, respectively (Fig. 9). An interesting case was that of individual  
395 bLlo21, from French Guiana, which was estimated to have a 57% probability of belonging to  
396 the Brazilian genetic cluster. Since this individual had 30% of its microsatellite data missing,  
397 we repeated this analysis excluding the loci for which no genotypes had been obtained from  
398 this animal. The proportion of its genomic ancestry in the Brazilian group decreased to 49%,  
399 but remained too high to be easily dismissed, implying some level of recent gene flow  
400 between the two groups.

401 The Mantel test revealed a weak but significant correlation between genetic and  
402 geographic distances when the whole sample was analyzed ( $r = 0.33$ ;  $p = 0.001$ ). When  
403 each group was analyzed separately, the Mantel test indicated a significant correlation for  
404 the Brazilian group ( $r = 0.47$ ,  $p = 0.003$ ,  $n = 29$ ), but not for the NW geographic group ( $r =$   
405  $0.49$ ,  $p = 0.13$ ,  $n = 7$ ).

406

## 407 **Discussion**

### 408 ***Genetic Diversity***

409 Observed levels of genetic variability in Neotropical otters (Tables 2, 6 and 7) were  
410 considerably high when compared to other vertebrates. For example, analyses of mtDNA  
411 control region data sets (overlapping with the segment employed here) from sympatric  
412 mammals yielded nucleotide diversity ( $\pi$ ) values of 0.0017 in marsh deer (*Blastocerus*  
413 *dichotomus*) populations of the Río de la Plata basin (Márquez *et al.* 2006), and 0.00771 in  
414 jaguars (*Panthera onca*) throughout their range (Eizirik *et al.* 2001), while in this study we  
415 obtained values of 0.011 (Tables 2 and 6).

416 Tchaicka *et al.* (2007) used the same control region segment in phylogeographic  
417 analyses of the crab-eating fox (*Cerdocyon thous*), a genetically diverse Neotropical canid  
418 sympatric with *L. longicaudis* throughout most of its range. The comparison between these  
419 species indicates that haplotype diversity is higher in *L. longicaudis* ( $h = 0.8911 \pm 0.0060$ )  
420 than in *Cerdocyon* ( $h = 0.83 \pm 0.032$ ), while nucleotide diversity is lower ( $\pi = 0.0113 \pm 0.0027$   
421 vs.  $0.019 \pm 0.002$ ).

422 Comparisons to other studies performed with mustelids suggest moderate to high  
423 levels of mtDNA diversity in *Lontra longicaudis*. Both nucleotide and haplotype diversity found  
424 in the Neotropical otter mtDNA CR ( $\pi = 0.0113 \pm 0.0027$ ;  $h = 0.8911 \pm 0.0060$ ) are similar to  
425 or higher than estimates reported for *Mustela lutreola* ( $\pi = 0.012 \pm 0.058$  to  $0.0012 \pm 0.088$ ;  $h$   
426  $= 0.469$  to  $0.939$ , Northeast and Southeast Europe populations, respectively; Michaux *et al.*  
427 2005), *Enhydra lutris* ( $\pi = 0.098 \pm 0.029$ ;  $h = 0.412$ ; Larson *et al.* 2002) and *Lutra lutra* ( $\pi =$

428 0.0006;  $h = 0.16 \pm 0.06$ ; Ferrando *et al.* 2004). Studies on European populations of *Lutra*  
429 *lutra* based on 300bp of the 5' hypervariable segment of the mtDNA control region have so far  
430 described only six haplotypes, all of which differ from each other by only one nucleotide,  
431 indicating a low genetic variability for that species (Effenberger & Suchentrunk 1999; Mucci *et*  
432 *al.* 1999; Cassens *et al.* 2000; Pérez-Haro *et al.* 2005). The absence of other genetic studies  
433 on otter or any mustelid using the genes *ATP8* and *ND5* precludes direct comparisons of  
434 these data to other species.

435 In spite of its high diversity relative to other mtDNA segments, our analyses indicate  
436 that the control region may not be the best mitochondrial marker for phylogeographic studies  
437 in *L. longicaudis* and perhaps other related species. Variable sites in this segment seem to  
438 be saturated even at the intra-specific level, leading to a lower signal-to-noise ratio than  
439 verified for the other two fragments. Conversely, the *ND5* segment used here seems to be a  
440 very informative segment, less prone to saturation at recent levels, which has also been  
441 observed for other carnivores studied by our group (unpublished data).

442 With respect to microsatellite diversity, Beheler *et al.* (2004) described 10 loci for *Lontra*  
443 *canadensis*, two of which were used in this study. These markers exhibited more alleles in *L.*  
444 *longicaudis* than reported for their original target species, whereas the observed  
445 heterozygosity was higher in the latter. Beheler *et al.* (2005) described an additional 10  
446 microsatellites for *L. canadensis*, four of which were analyzed here for *L. longicaudis*. Again,  
447 more alleles were found for every locus in *L. longicaudis*, while the observed heterozygosity  
448 was relatively lower in this species. The remaining microsatellite markers used here were  
449 developed for *Lutra lutra* (Dallas & Piertney 1998); in these markers a similar number of  
450 alleles and higher heterozygosity were observed for *L. longicaudis* relative to the original  
451 target species. Other studies employing these markers include the following: Hung *et al.*  
452 (2004) used three of these loci (Lut701, Lut733 e Lut782) on *Lutra lutra* scat samples in  
453 Kinmen, and found fewer alleles than identified here; Dallas *et al.* (2002) also employed the  
454 same three markers in *L. lutra* and found fewer alleles than reported here for two of the loci  
455 (Lut701 e Lut733); finally, Randi *et al.* (2003) used four of these loci in European populations  
456 of *L. lutra* and found a higher allelic diversity but observed heterozygosity generally lower than  
457 we estimated for *L. longicaudis*. A practical implication of these results is that these markers  
458 are quite variable in *L. longicaudis*, are thus informative for further investigations of population  
459 genetics and molecular ecology in this species, and should provide useful comparisons to  
460 other related species.

461 ***Phylogeography and Demographic History***

462 The phylogenetic and network analyses of *Lontra longicaudis* mtDNA sequences  
463 showed this species to be composed of at least four geographically structured phylogroups:  
464 (i) Colombia; (ii) Bolivia; (iii) French Guiana; and (iii) Brazil. Colombia and Bolivia were  
465 represented by a single sample each, whose genetic divergence from other individuals  
466 indicates deep phylogeographic partitions involving these areas, which need to be further  
467 ascertained by means of enhanced sampling.

468 The allopatry of the two better-sampled phylogroups (Brazil and French Guiana) is  
469 almost complete, with only two individuals (bLlo41 and bLlo 66, which share the same  
470 haplotype) found in a geographic region inconsistent with their phylogenetic placement. The  
471 position of these French Guiana samples in Clade 1 is intriguing, as they are solidly placed at  
472 the base of this clade. This suggests that this incongruence between geography and  
473 phylogeny may be due to an ancestral colonization process, instead of secondary mtDNA  
474 gene flow. In that case, it would imply that the Brazilian clade derives from a recent episode  
475 of colonization from the north, so that northern populations are paraphyletic with respect to  
476 more southerly ones. Another observation that is consistent with this inference is the position  
477 of the single haplotype (sampled in two different individuals) from the Brazilian northeast,  
478 which is the most basal of all lineages from Brazil (e.g. LI-AN4B in Fig. 5 and LI-ANC18 in  
479 Fig. 6). This hypothesis needs to be further investigated on the basis of additional sampling  
480 in northern Brazil and adjacent areas.

481 The mtDNA inference of a significant genetic partition between Brazil and the other  
482 sampled areas in South America is supported by the microsatellite data set. However, the  
483 magnitude of this difference is much lower with these fast-evolving nuclear markers than with  
484 the matrilineal mitochondrial sequences. This may be due to slower effects of historical  
485 genetic drift acting on two isolated groups, given the larger effective population size of  
486 nuclear markers, or (non-exclusively) to the occurrence of ongoing male-biased gene flow  
487 between these areas. Teasing apart these processes should prove to be an interesting  
488 avenue for research in this species, for which data on individual dispersal and social  
489 behavior is currently unavailable.

490 The pattern observed with the mtDNA phylogenies and haplotype networks is  
491 consistent with a recent population expansion in Brazil, with most haplotypes from this region  
492 differing from each other by only one or two mutational steps, often connected in a star-  
493 shaped fashion (e.g. Figs. 5 - 8). This inference is also supported by the mtDNA mismatch  
494 distribution analysis (Fig. 4) and the neutrality test results. The mismatch distribution results  
495 of the *ATP8 + ND5* data set (seemingly “cleaner” in terms of phylogenetic signal, with less

496 homoplasmy than inferred for the control region) fits particularly well the expected pattern  
497 under a sudden demographic expansion (Rogers & Harpending 1992), with a single, smooth  
498 prominent peak. The age of this expansion was estimated at ca. 200,000 years ago, possibly  
499 following colonization of this area from northern South America.

500

### 501 ***Implications for conservation***

502 The results presented here have implications for the conservation and management  
503 of this species in the wild and in captivity. In spite of being represented by a single sample  
504 each, the divergence observed in Colombia and Bolivia suggests that those areas may be  
505 sufficiently differentiated to warrant recognition as separate Evolutionarily Significant Units  
506 (ESU – Ryder 1986). As such, they should be conserved and managed as distinct entities.  
507 Further studies are required to improve our knowledge about these populations and their  
508 evolutionary relationships to others.

509 French Guiana otters are not monophyletic in their mtDNA lineages trees, but should  
510 be viewed as separate conservation unit. This area seems to contain a very high level of  
511 genetic diversity, and may hold important clues to better understand the evolutionary history  
512 of this species. It should therefore be viewed as an important focus for additional research  
513 and conservation efforts. Other demographic units probably exist in the Neotropical otter,  
514 which should be investigated in more detailed population genetic studies involving an  
515 expanded geographic sampling of the species range.

516

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- 681  
682

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690

691 **Figure Legends**

692 Fig 1. Map depicting currently assumed geographic distribution (*shaded area*) of the  
693 Neotropical otter (modified from  
694 [http://www.otterspecialistgroup.org/Species/Lontra\\_longicaudis.html](http://www.otterspecialistgroup.org/Species/Lontra_longicaudis.html)), with approximate  
695 sample collection sites. Black circles represent individuals from Brazil and black squares  
696 indicate individuals from Northwestern South America (French Guiana, Colombia, Bolivia,  
697 Peru). Numbers next to the collection sites are sample identification labels (number after  
698 “bLlo” in Table 1) of *L. longicaudis* individuals in each area. Boxes indicate individuals from  
699 the same region.

700

701 Fig. 2. Maximum likelihood tree of *L. longicaudis* mtDNA CR haplotypes identified in this  
702 study, based on 492 bp. Labels are haplotype identification numbers (see Table 3). Values  
703 above branches indicate support for the adjacent node based on ML / MP / NJ / BI. Given  
704 the evidence for saturation in the control region data set (see text), only *L. canadensis* was  
705 used as outgroup in these analyses (see Figs. 5 and 6).

706

707 Fig. 3. Median-joining network of haplotypes of *L. longicaudis* mtDNA control region (using  
708 438 bp, excluding all sites containing indels or missing information). Circle size indicates the  
709 frequency of each haplotype, which is identified as listed in Table 3. Bars on branches  
710 indicate nucleotide substitutions inferred to have occurred between the connected  
711 haplotypes. Vertically hatched circles are haplotypes sampled in Brazil; horizontally hatched  
712 symbols are haplotypes sampled in French Guiana; the light grey haplotype (LI-C18) was  
713 sampled in Colombia; the dark grey haplotype (LI-C21) was sampled in Bolivia and the white  
714 one (LI-C22) was sampled in Peru.

715

716 Fig. 4. Mismatch distribution analysis for the Brazilian samples. (A) mtDNA control region;  
717 (B) *ATP8* + *ND5* data set; (C) CR + *ATP8* + *ND5* data set. The dotted line indicates the

718 observed frequency of pairwise differences among haplotypes, while the continuous lines  
719 depicts the expected frequency under the sudden population expansion model.

720

721 Fig. 5. Maximum likelihood tree of *L. longicaudis* mtDNA *ATP8* + *ND5* haplotypes identified in  
722 this study. Labels are haplotype identification numbers (see Table 4). Values above  
723 branches indicate support for the adjacent node based on ML / MP / NJ / BI.

724

725 Fig. 6. Maximum likelihood tree of *L. longicaudis* mtDNA CR + *ATP8* + *ND5* lineages  
726 identified in this study, based on 1472 bp. Labels are haplotype identification numbers (see  
727 Table 5). Values above branches indicate support for the adjacent node based on ML / MP /  
728 NJ / BI.

729

730 Fig. 7. Median-joining network of *L. longicaudis* mtDNA *ATP8* + *ND5* haplotypes (using 681  
731 bp; all sites containing indels or missing information were excluded). The crossed marks are  
732 nucleotide substitutions inferred in that branch. Bars on branches indicate nucleotide  
733 substitutions inferred to have occurred between the connected haplotypes. Vertically hatched  
734 circles are haplotypes sampled in Brazil; horizontally hatched symbols are haplotypes  
735 sampled in French Guiana and Peru; the light grey haplotype (LI-AN14) was sampled in  
736 Colombia; the dark grey one (LI-AN16) was sampled in Bolivia.

737

738 Fig 8. Median-joining network of *L. longicaudis* mtDNA CR + *ATP8* + *ND5* haplotypes (using  
739 1164 bp; all sites containing indels or missing information were excluded). The crossed  
740 marks are nucleotide substitutions inferred in that branch. Bars on branches indicate  
741 nucleotide substitutions inferred to have occurred between the connected haplotypes.  
742 Vertically hatched circles are haplotypes sampled in Brazil; horizontally hatched symbols are  
743 haplotypes sampled in French Guiana; the light grey haplotype (LI-ANC21) was sampled in  
744 Colombia; the dark grey haplotype (LI-ANC24) was sampled in Bolivia and the white one (LI-  
745 ANC25) was sampled in Peru.

746

747 Fig. 9. Best population clustering result (k = 2 clusters) in a Bayesian analysis of  
748 microsatellite data. Each otter individual is represented by a vertical bar partitioned into dark  
749 grey and light grey segments, the lengths of which indicate the probability of membership in  
750 each population cluster (parenthesis numbers: (1) Brazil; (2) Northwestern South America).  
751 Numbers below to the horizontal axe are sample identification labels (number after "bLlo" in  
752 Table 1) of *L. longicaudis* individuals in each area.



Table 1. Samples of the Neotropical otter analyzed in the present study.

ID	Sample	Geographic origin	Source Institution / Contact
bLlo01	muscle	Rio Grande do Sul State, Brazil	G. Bencke and J. M. Reppold
bLlo02	muscle	Santa Catarina State, Brazil	Sapucaia do Sul Zoo
bLlo03	muscle	Rio Grande do Sul State, Brazil	Carnívoros do RS Project
bLlo04 <sup>1</sup>	footpad	Rio Grande do Sul State, Brazil	Carnívoros do RS Project
bLlo05	muscle	Gravatá, Rio Grande do Sul State, Brazil	G. L. Gonçalves
bLlo06	muscle	Rio Grande do Sul State, Brazil	P. H. Ott
bLlo07, bLlo08	muscle	Torres, Rio Grande do Sul State, Brazil	P. Colombo
bLlo09	muscle	Jataí, Goiás State, Brazil	CENAP / IBAMA
bLlo10	kidney	Moji-Mirim, São Paulo State, Brazil	CENAP / IBAMA
bLlo11	muscle	Rio Grande do Sul State, Brazil	A. P. Brandt
bLlo12	muscle	Dois Irmãos, Rio Grande do Sul State, Brazil	Prefeitura de Dois Irmãos / I. Fick
bLlo14	muscle	Angra dos Reis, Rio de Janeiro State, Brazil	H. F. Waldemarin
bLlo15	muscle	Guaratiba, Rio de Janeiro State, Brazil	H. F. Waldemarin
bLlo16	muscle	Barra, Rio de Janeiro State, Brazil	H. F. Waldemarin
bLlo17, bLlo18 <sup>2</sup>	muscle	Pantanal, Mato Grosso do Sul State, Brazil	H. F. Waldemarin
bLlo19, bLlo20, bLlo21, bLlo22 <sup>2</sup> , bLlo25, bLlo41	muscle	French Guiana	B. de Thoisy
bLlo23	skin	Maceo, Antioquia, Colombia	D. Arcila
bLlo24	muscle	Rio Grande do Sul State, Brazil	T. R. O. de Freitas
bLlo26 <sup>2</sup>	faeces	Eldorado do Sul, Rio Grande do Sul State, Brazil	Sapucaia do Sul Zoo / R. von Hohendorff
bLlo28 <sup>2</sup>	hair	Pantanal, Mato Grosso State, Brazil	I. C. Pfeifer
bLlo29	blood	Foz do Iguaçu, Paraná State, Brazil	São Paulo Zoo / K. Kassaro
bLlo30	blood	Belo Horizonte, Minas Gerais State, Brazil	São Paulo Zoo / K. Kassaro
bLlo31	blood	Corumbá, Mato Grosso do Sul State, Brazil	São Paulo Zoo / K. Kassaro
bLlo34	muscle	Blumenau, Santa Catarina State, Brazil	FURB / S. Althoff
bLlo36 <sup>2</sup>	faeces	Sumaré, São Paulo State, Brazil	Campinas Zoo / E. Ferraz
bLlo37 <sup>2</sup>	faeces	Nova Santa Rita, Rio Grande do Sul State, Brazil	Canoas Mini Zoo / M. Martins
bLlo38	muscle	P.N. Ilha Grande, Paraná State, Brazil	L. Koprowski
bLlo39	skin	Ribeirão Preto, São Paulo State, Brazil	Ribeirão Preto Zoo / M. dos Santos
bLlo42	muscle	Capela de Santana, Rio Grande do Sul State, Brazil	Canoas Mini Zoo / M. Martins
bLlo51 <sup>2</sup>	faeces	P.E. da Ilha do Cardoso, São Paulo State, Brazil	E. Nakano
bLlo57	blood	Parapanema River, Paraná State, Brazil	L. Koprowski
bLlo58	muscle	Osório, Rio Grande do Sul State, Brazil	P. Colombo, C. Zank and G. Volkmer
bLlo60, bLlo61	blood	Recife, Pernambuco State, Brazil	Dois Irmãos Park / A. L. Brito
bLlo64 <sup>2</sup>	faeces	Vermelho River, Corumbá, Mato Grosso do Sul State, Brazil	Embrapa-Pantanal / G. Mourão
bLlo66 <sup>3</sup>	DNA	French Guiana	K.P. Koepfli
bLlo67 <sup>3</sup>	DNA	Bolivia	K.P. Koepfli
bLlo68 <sup>3</sup>	DNA	Peru	K.P. Koepfli

<sup>1</sup> samples typed only for microsatellite loci

<sup>2</sup> samples typed only for the mtDNA control region.

<sup>3</sup> samples typed only for mtDNA segments.

Table 2. mtDNA diversity estimates for the Neotropical river otter and related species.

Segment <sup>a</sup>	Length (bp) <sup>b</sup>	N	No. of haplotypes	S <sup>c</sup>	PI <sup>d</sup>	$\delta$ <sup>e,g</sup>	$d_{xy}$ ( <i>Lontra canadensis</i> ) <sup>f,g</sup>	$d_{xy}$ ( <i>Amblyonyx cinereus</i> ) <sup>f,g</sup>	$d_{xy}$ ( <i>Pteronura brasiliensis</i> ) <sup>f,g</sup>
CR	492 (438)	44	23	27 / 40	15 / 19	0.01133 ± 0.00266	0.05173 ± 0.00953	0.06761 ± 0.01135	0.06834 ± 0.01103
ATP8	329 (295)	37	11	16 / 66	1 / 7	0.00394 ± 0.00128	0.18369 ± 0.02257	0.20953 ± 0.02329	0.20412 ± 0.02343
ND5	651 (547)	37	17	36 / 99	11 / 21	0.00732 ± 0.00198	0.10591 ± 0.01478	0.17378 ± 0.01599	0.15148 ± 0.01696
ATP8 + ND5	980 (681)	36	19	49 / 162	14 / 30	0.00587 ± 0.00130	0.14187 ± 0.01302	0.18971 ± 0.015011	0.17344 ± 0.01367
CR + ATP8 + ND5	1472 (1164)	35	26	76 / 206	31 / 50	0.00809 ± 0.00127	0.10857 ± 0.00851	0.14691 ± 0.00940	0.13940 ± 0.00904

<sup>a</sup> CR= mtDNA control region; ATP8 : ATPase subunit 8 ; ND5 : NADH dehydrogenase subunit 5 .

<sup>b</sup> values in parentheses are segment lengths after exclusion of all sites containing gaps or missing information.

<sup>c</sup> S = Segregating (polymorphic) sites; values are given for the *L. longicaudis* data set / data set including *L. longicaudis* + *L. canadensis* .

<sup>d</sup> PI = Parsimony-informative sites; values are given for the *L. longicaudis* data set / data set including *L. longicaudis* + *L. canadensis* .

<sup>e</sup>  $\delta$  = nucleotide diversity per site.

<sup>f</sup>  $d_{xy}$ = mean nucleotide divergence (p-distance) between *L. longicaudis* haplotypes and selected outgroups.

<sup>g</sup> all sites containing indels or missing information were excluded from this analysis.

Table 3. List of individuals that bear each mtDNA control region haplotype. Also indicated are the absolute frequency in the total sample (Fr) and the geographic distribution of each haplotype.

Haplotype <sup>a</sup>	Individuals	Fr	Country of haplotype occurrence
LI-C1	bLlo01, bLlo03, bLlo11, bLlo24	4	Brazil
LI-C2A	bLlo02, bLlo06, bLlo08, bLlo09, bLlo12, bLlo14, bLlo15, bLlo18, bLlo26, bLlo34, bLlo38, bLlo58, bLlo64	13	Brazil
LI-C2B	bLlo30	1	Brazil
LI-C3	bLlo05, bLlo39	2	Brazil
LI-C4	bLlo07	1	Brazil
LI-C5	bLlo10	1	Brazil
LI-C6	bLlo16	1	Brazil
LI-C7	bLlo17	1	Brazil
LI-C8	bLlo28	1	Brazil
LI-C9	bLlo29, bLlo37	2	Brazil
LI-C10	bLlo31	1	Brazil
LI-C11	bLlo36	1	Brazil
LI-C12	bLlo42	1	Brazil
LI-C13	bLlo51	1	Brazil
LI-C14	bLlo57	1	Brazil
LI-C15	bLlo60, bLlo61	2	Brazil
LI-C16	bLlo19, bLlo20	2	French Guiana
LI-C17	bLlo21, bLlo22	2	French Guiana
LI-C18	bLlo23	1	Colombia
LI-C19	bLlo25	1	French Guiana
LI-C20	bLlo41, bLlo66	2	French Guiana
LI-C21	bLlo67	1	Bolivia
LI-C22	bLlo68	1	Peru

<sup>a</sup> Haplotypes with the same number and different letters (e.g. LI-C2A, 2B) are collapsed into a single haplotype when all sites with missing information or indels are excluded (e.g. Fig.3).

Table 4. List of individuals that bear each mitochondrial DNA *ATP8 + ND5* haplotype. Also indicated are the absolute frequency on the total sample (Fr) and the geographic distribution of each haplotype.

Haplotype <sup>a</sup>	Individuals	Fr	Country(ies) of haplotype occurrence
Ll-AN1	bLlo01	1	Brazil
Ll-AN2	bLlo02, bLlo14, bLlo15	3	Brazil
Ll-AN3	bLlo03, bLlo24, bLlo31	3	Brazil
Ll-AN4A	bLlo05, bLlo06, bLlo08, bLlo12, bLlo16, bLlo29, bLlo30, bLlo34, bLlo57, bLlo58	10	Brazil
Ll-AN4B	bLlo60, bLlo61	2	Brazil
Ll-AN5	bLlo07	1	Brazil
Ll-AN6	bLlo09, bLlo39	2	Brazil
Ll-AN7	bLlo10	1	Brazil
Ll-AN8	bLlo11	1	Brazil
Ll-AN9	bLlo17	1	Brazil
Ll-AN10	bLlo42	1	Brazil
Ll-AN11A	bLlo19	1	French Guiana
Ll-AN11B	bLlo20	1	French Guiana
Ll-AN12A	bLlo21, bLlo68	2	French Guiana, Peru
Ll-AN12B	bLlo25	1	French Guiana
Ll-AN13	bLlo22	1	French Guiana
Ll-AN14	bLlo23	1	Colombia
Ll-AN15	bLlo41, bLlo66	2	French Guiana
Ll-AN16	bLlo67	1	Bolivia

<sup>a</sup> Haplotypes with the same number and different letters (e.g. Ll-AN4A, 4B) are collapsed into a single haplotype when all sites with missing information or indels are excluded (e.g. Fig.5).

Table 5. List of individuals that bear each mitochondrial DNA CR + *ATP8* + *ND5* haplotype. Also indicated are the absolute frequency on the total sample (Fr) and the geographic distribution of each haplotype.

Haplotype <sup>a</sup>	Individuals	Fr	Country of haplotype occurrence
LI-ANC1	bLlo01	1	Brazil
LI-ANC2	bLlo02, bLlo14, bLlo15	3	Brazil
LI-ANC3	bLlo03, bLlo24	2	Brazil
LI-ANC4	bLlo05	1	Brazil
LI-ANC5	bLlo06, bLlo08, bLlo12, bLlo34, bLlo58	5	Brazil
LI-ANC6	bLlo07	1	Brazil
LI-ANC7	bLlo09	1	Brazil
LI-ANC8	bLlo10	1	Brazil
LI-ANC9	bLlo11	1	Brazil
LI-ANC10	bLlo16	1	Brazil
LI-ANC11	bLlo17	1	Brazil
LI-ANC12	bLlo29	1	Brazil
LI-ANC13	bLlo30	1	Brazil
LI-ANC14	bLlo31	1	Brazil
LI-ANC15	bLlo39	1	Brazil
LI-ANC16	bLlo42	1	Brazil
LI-ANC17	bLlo57	1	Brazil
LI-ANC18	bLlo60, bLlo61	2	Brazil
LI-ANC19A	bLlo19	1	French Guiana
LI-ANC19B	bLlo20	1	French Guiana
LI-ANC20	bLlo21	1	French Guiana
LI-ANC21	bLlo23	1	Colombia
LI-ANC22	bLlo25	1	French Guiana
LI-ANC23	bLlo41, bLlo66	2	French Guiana
LI-ANC24	bLlo67	1	Bolivia
LI-ANC25	bLlo68	1	Peru

<sup>a</sup> Haplotypes with the same number and different letters (e.g. LI-ANC19A, 19B) are collapsed into a single haplotype when all sites with missing information or indels are excluded (e.g. Fig.7).

Table 6. Nucleotide and haplotype diversity observed in the *Lontra longicaudis* mtDNA segments, specified separately for different geographically defined populations.

Segment <sup>a</sup>	Group <sup>b</sup>	Nucleotide diversity (SE) <sup>c</sup>	Haplotype diversity (SE) <sup>c</sup>
CR	Brazil	0.0062 ± 0.0019	0.8217 ± 0.0106
	FG	0.0165 ± 0.0039	0.8571 ± 0.0386
	NW	0.0178 ± 0.0040	0.9333 ± 0.0196
	Total	0.0113 ± 0.0027	0.8911 ± 0.0060
ATP8 + ND5	Brazil	0.0019 ± 0.0006	0.7754 ± 0.0153
	FG	0.0058 ± 0.0016	0.9048 ± 0.0389
	NW	0.0094 ± 0.0019	0.9333 ± 0.0196
	Total	0.0059 ± 0.0013	0.8762 ± 0.0077
CR + ATP8 + ND5	Brazil	0.0038 ± 0.0009	0.9538 ± 0.0053
	FG	0.0100 ± 0.0018	0.8667 ± 0.0527
	NW	0.0124 ± 0.0018	0.9444 ± 0.0233
	Total	0.0081 ± 0.0012	0.9714 ± 0.0027

<sup>a</sup> CR: mtDNA control region; *ATP8*: *ATPase subunit 8*; *ND5*: *NADH dehydrogenase subunit 5*.

<sup>b</sup> FG: French Guiana; NW: Northwestern South America (including French Guiana, Peru, Bolivia and Colombia).

<sup>c</sup> All sites containing indels or missing information were excluded from this analysis. SE: standard error.

Table 7. Measures of microsatellite diversity in the two geographic groups of the Neotropical otter investigated in this study.

Brazil							Northwestern South America					
Locus	N <sup>a</sup>	NEA <sup>b</sup>	SR (bp) <sup>c</sup>	H <sub>e</sub> <sup>d</sup>	H <sub>o</sub> <sup>e</sup>	HWE <sup>f</sup> (P)	N <sup>a</sup>	NEA <sup>b</sup>	SR (bp) <sup>c</sup>	H <sub>e</sub> <sup>d</sup>	H <sub>o</sub> <sup>e</sup>	HWE <sup>f</sup> (P)
Lut453	6	3	136-146	0.756	0.704	ns	3	0	138-144	0.648	0.429	ns
Lut701	6	1	180-198	0.680	0.826	ns	7	2	172-198	0.924	0.833	ns
Lut733	7	2	154-178	0.756	0.690	ns	5	0	154-170	0.758	0.714	ns
Lut782	6	2	178-198	0.672	0.517	ns	5	1	182-206	0.803	0.500	ns
RIO06	8	5	261-289	0.729	0.630	ns	3	0	275-281	0.711	0.000	ns
RIO07	8	5	176-194	0.791	0.379	*	3	0	182-186	0.604	0.286	ns
RIO11	11	7	168-188	0.895	0.862	ns	4	0	168-182	0.758	0.429	ns
RIO18	11	7	142-174	0.827	0.462	*	5	1	142-154	0.670	0.429	ns
RIO19	9	5	286-306	0.712	0.571	ns	6	2	290-304	0.747	0.571	ns
RIO20	8	5	261-279	0.845	0.250	*	3	0	265-269	0.545	0.000	ns
Mean	8	4.2	-	0.766	0.589	-	4.4	0.6	-	0.717	0.419	-

<sup>a</sup> Number of alleles

<sup>b</sup> Number of exclusive alleles

<sup>c</sup> Size range

<sup>d</sup> Expected heterozygosity

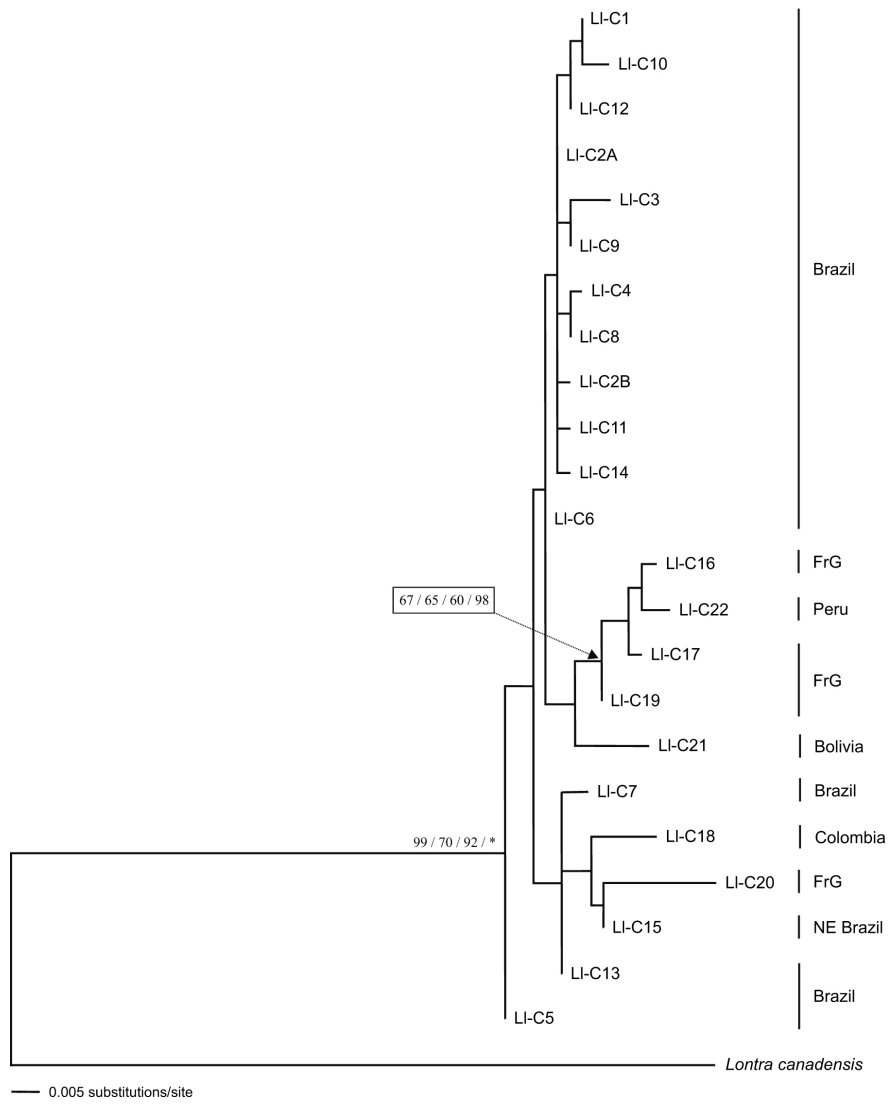
<sup>e</sup> Observed heterozygosity

<sup>f</sup> Deviation from Hardy-Weinberg Equilibrium

\* Significant after Bonferroni adjustment ( $p < 0.001$ )

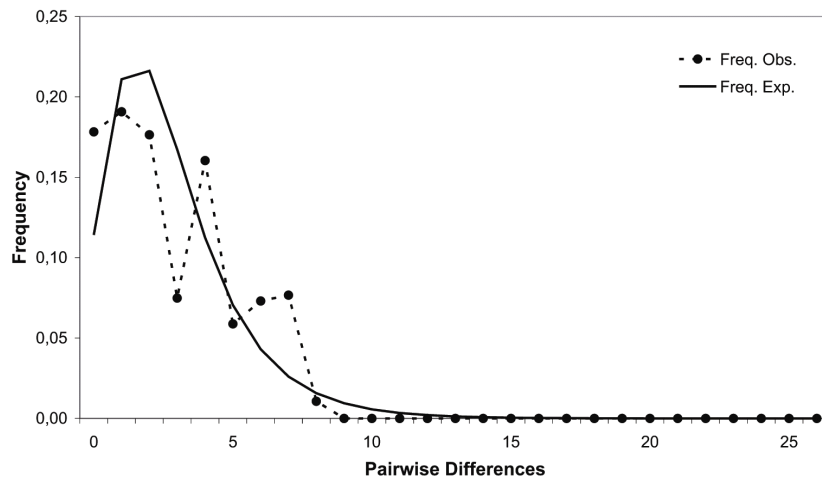




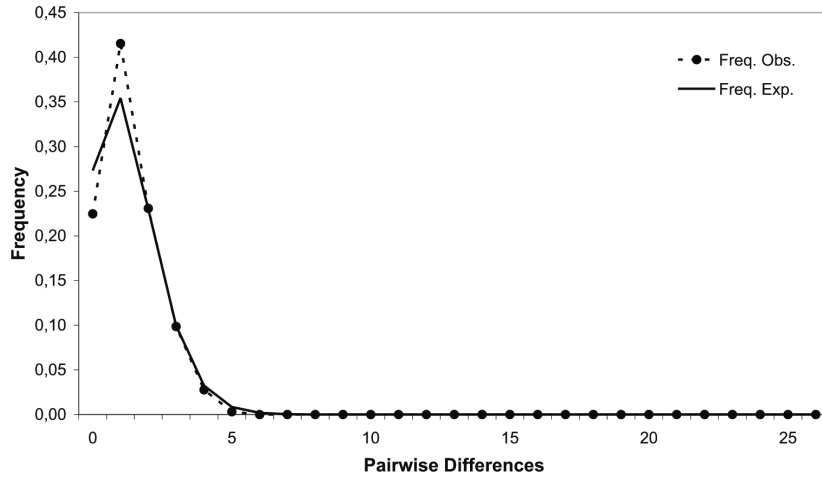




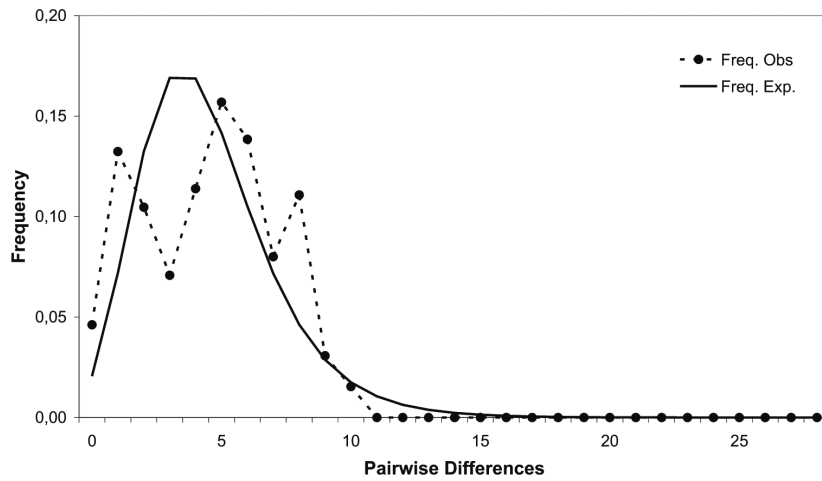
(A)

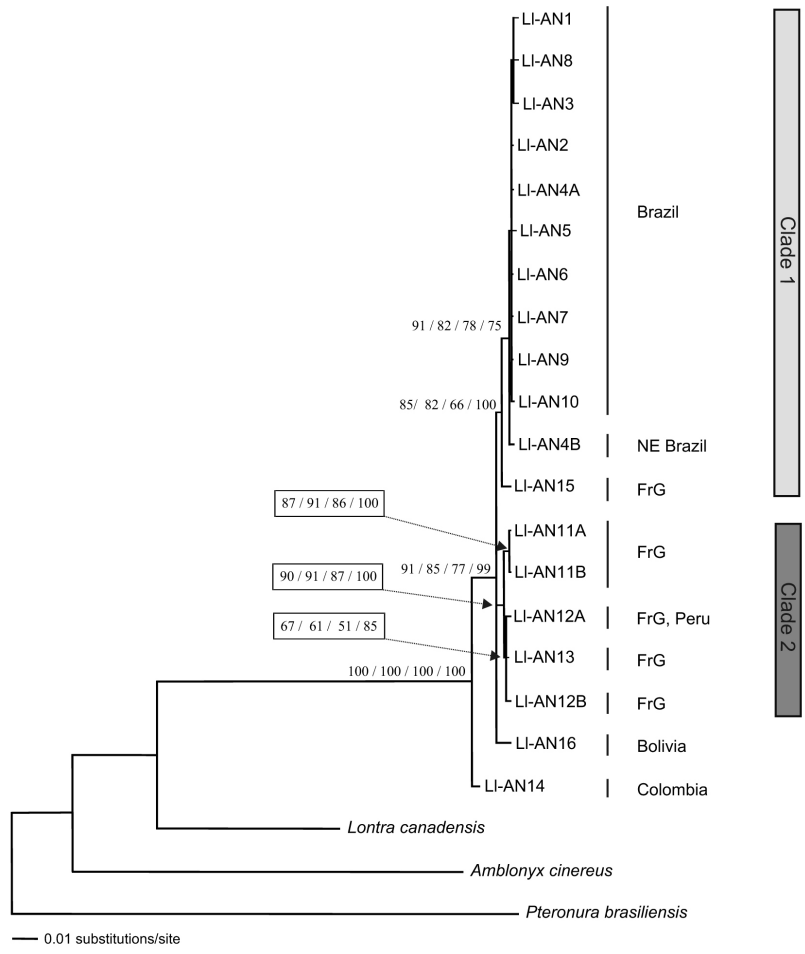


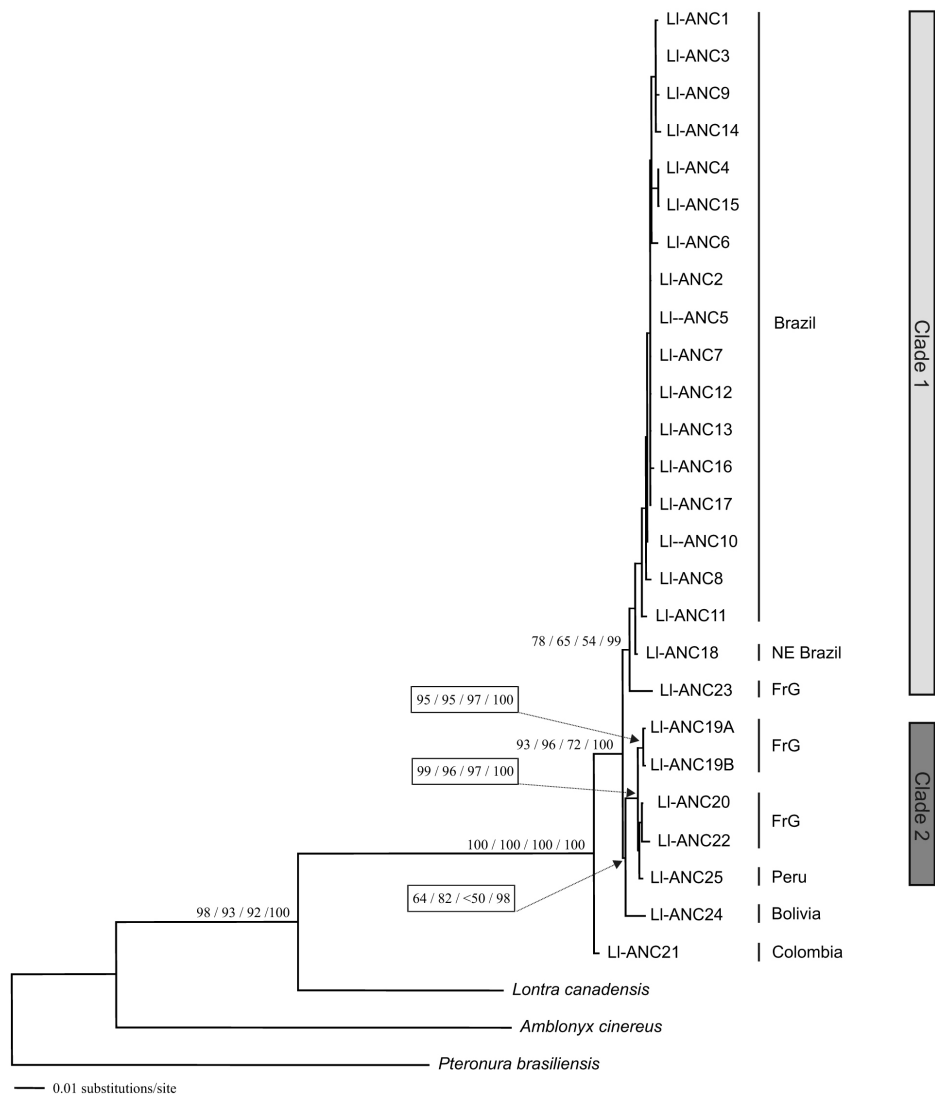
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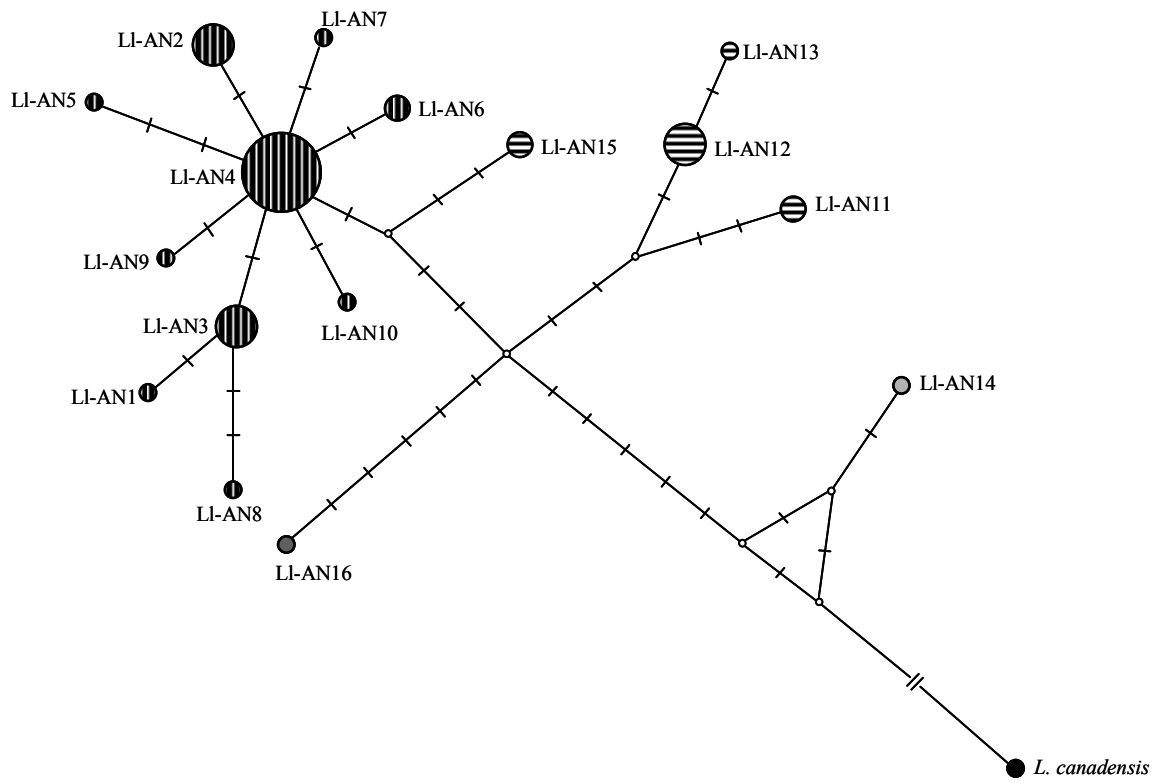


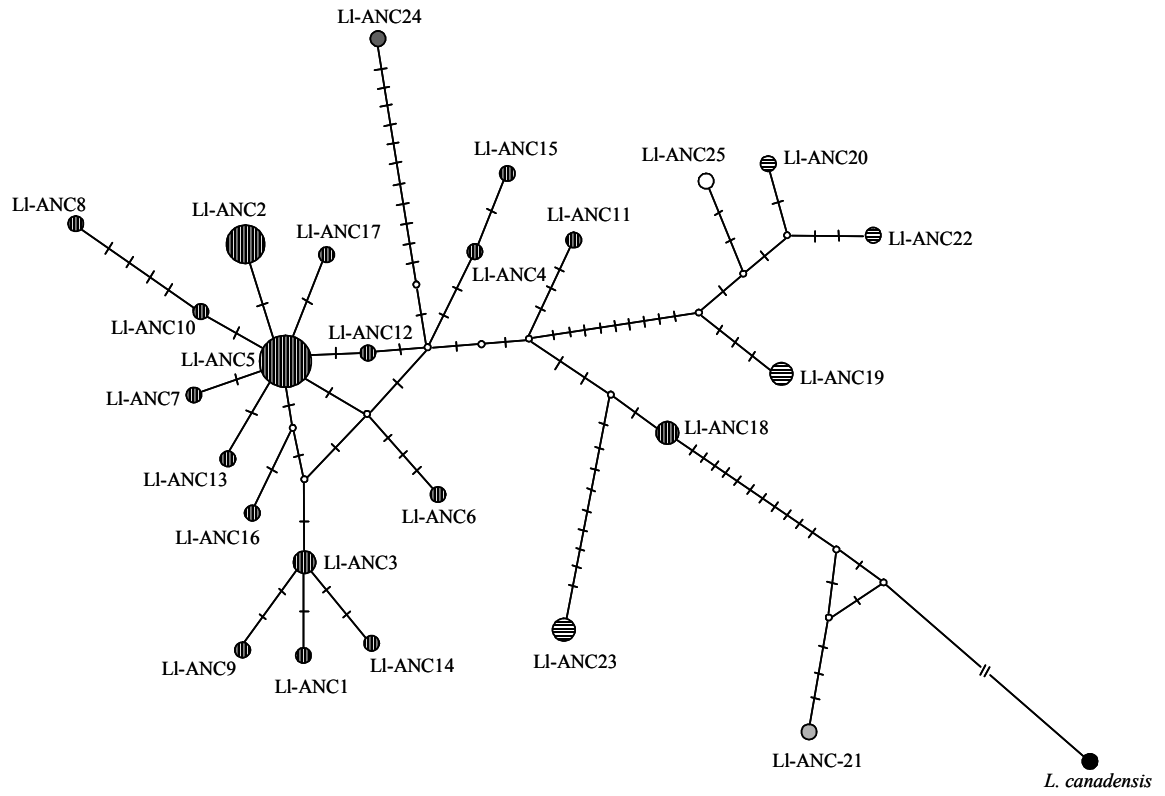
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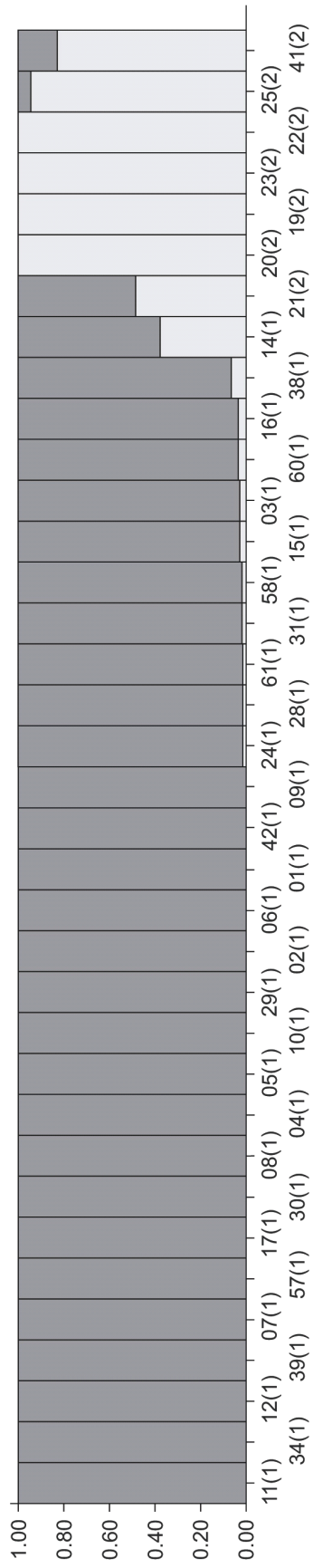














## **Author Information Box**

This project is part of Cristine S. Trinca's M. Sc. thesis at the Graduate Program in Zoology of the Pontifícia Universidade Católica do Rio Grande do Sul (PUCRS), Brazil, where she is advised by Dr. Eduardo Eizirik. Her research interests are focused on population genetics, ecology, phylogeography and conservation of Neotropical otters. Dr. Helen Waldemarin is an otter biologist interested in ecology, behavior and conservation of Neotropical populations of this group. Dr. Klaus-Peter Koepfli works on carnivore phylogenetics, evolutionary biology and biogeography. Dr. Benoit de Thoisy is a conservation biologist interested in diverse issues pertaining to genetics and ecology of South American taxa. Dr. Eizirik is an evolutionary biologist focusing most of his research on phylogenetics, conservation genetics and molecular ecology of Neotropical carnivores.

### Capítulo III – Discussão Geral

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Os resultados obtidos no presente trabalho evidenciam a existência de padrões filogeográficos claros em *Lontra longicaudis*. A partir das análises filogenéticas e populacionais realizadas, são discutidas algumas hipóteses a respeito da história demográfica desta espécie, bem como sugestões para incorporar estas informações no planejamento de estratégias adequadas para a conservação e manejo da mesma. Inferências sobre os padrões de variabilidade nos segmentos de mtDNA utilizados no estudo foram realizadas, avaliando-se seu desempenho como marcadores para estudos evolutivos recentes em mustelídeos. Estes aspectos foram abordados na discussão do artigo precedente. Sendo assim, neste espaço, será feita uma breve revisão dos mesmos, salientando alguns aspectos e implicações adicionais.

O presente trabalho é o primeiro a caracterizar a diversidade genética e a estruturação geográfica observada em populações naturais de *L. longicaudis*, uma vez que os únicos estudos até o momento incluindo esta espécie são análises filogenéticas da família Mustelidae e da Subfamília Lutrinae em geral (Van Zyll de Jong 1987; Koepfli & Wayne 1998, 2003; Marmi *et al.* 2004), não abordando qualquer aspecto genético e/ou populacional da Lontra Neotropical em particular. Alguns estudos desta natureza foram realizados até o momento para outras espécies de mustelídeos (p.ex. Mucci *et al.* 1999; Kyle & Strobeck 2001; Fleming & Cook 2002; Blundell *et al.* 2002; Broquet *et al.* 2006; Pertoldi *et al.* 2006), o que permite comparações iniciais com os resultados aqui obtidos. Estas comparações indicam que a Lontra Neotropical apresenta níveis relativamente altos de diversidade genética nos segmentos mitocondriais empregados, bem como alta variabilidade nos locos de microssatélite analisados.

A região controladora têm sido bastante empregada em estudos evolutivos e demográficos de diversas espécies de mamíferos, revelando padrões filogeográficos claros em diversas espécies de vertebrados (Sivasundar *et al.* 2001; Márquez *et al.* 2006; Tchaicka *et al.* 2007). Entretanto, este segmento, apesar de bastante variável, não apresentou boa resolução na identificação de padrões de estruturação geográfica e história evolutiva de *Lontra longicaudis*. Nota-se que apesar de relativamente variável em nível intra-específico, quando utilizado para comparações interespecíficas, sua variabilidade é bastante reduzida, dando claras indicações de saturação. Entretanto, ainda assim, este segmento mostrou-se mais variável do que em *Lutra lutra*, em que diversos estudos encontraram uma diversidade nucleotídica e haplotípica bastante reduzida em diversas regiões da Europa (Mucci *et al.* 1999; Effenberger & Suchentrunk 1999; Cassens *et al.* 2000; Pérez-Haro *et al.* 2005).

Comparações com outros estudos realizados com diversas espécies de carnívoros sugerem moderados níveis de variabilidade genética no DNA mitocondrial de *Lontra longicaudis*. A diversidade haplotípica geral encontrada para a região controladora do mtDNA é relativamente alta ( $h_{\text{Conc}} = 0.8911 \pm 0.0060$ ), em comparação, por exemplo, com *Mustela lutreola* ( $h = 0.469$  e  $0.939$ ,

para duas populações da Europa; Michaux *et al.* 2005), *Enhydra lutris* ( $h = 0.412$ ; Larson *et al.* 2002) e *Lutra lutra* ( $h = 0.16 \pm 0.06$ ; Ferrando *et al.* 2004). A diversidade nucleotídica encontrada neste conjunto de dados é moderada ( $\pi = 0.0113 \pm 0.0027$ ) comparada com estimativas obtidas para outros mustelídeos, como por exemplo, *Lutra lutra* ( $\pi = 0.0006$ ; Ferrando *et al.* 2004), e *Mustela lutreola* ( $\pi = 0.012 \pm 0.058$  e  $0.0012 \pm 0.088$  para cada uma das duas populações analisadas). Em contrapartida, uma menor diversidade é observada quando comparada a *Enhydra lutris* ( $\pi = 0.098 \pm 0.029$ ; Larson *et al.* 2002).

Johnson *et al.* (1999) utilizaram os genes *ATP8* e *ND5* na investigação de padrões filogeográficos de quatro espécies de pequenos felinos neotropicais. A diversidade nucleotídica ( $\pi$ ) encontrada variou de 0.0029 (*Oncifelis guigna*) a 0.0126 apresentando grande número de sítios polimórficos (em média 36, exceto para *O. guigna*). Os valores encontrados para *L. longicaudis* podem ser considerados altos (36 sítios polimórficos e  $\pi = 0.0080 \pm 0.0015$ ).

Todavia, comparações com outras espécies de carnívoros, especialmente mustelídeos, sobre a variabilidade dos segmentos dos genes *ATP8* e *ND5* não puderam ser realizadas, pois os estudos realizados até o momento não utilizaram estas regiões do mtDNA.

Quando a diversidade genética é comparada entre os segmentos empregados, a região controladora é mais variável do que os genes *ATP8* e *ND5*, entretanto, em comparações interespecíficas, estes dois apresentam-se muito mais informativos. Isto também fica evidente na análise das árvores filogenéticas, as quais foram claras em demonstrar sinais filogeográficos, com exceção daquela construída somente com a porção hipervariável I da região controladora (Fig. 2). Isto sugere que este segmento esteja saturado de mutações, perdendo assim poder informativo. Este resultado é interessante do ponto de vista experimental e analítico, uma vez que a região controladora tem sido muito utilizada para investigar padrões filogeográficos com diversas espécies de vertebrados. Caso o padrão da região controladora observado para *Lontra longicaudis* venha a ser corroborado em outras espécies, é possível que a escolha preferencial deste segmento como portador de sinais filogeográficos seja questionada.

Em contrapartida, os genes *ATP8* e *ND5* apresentaram alta diversidade intra- e interespecífica, sendo que devido ao tamanho do segmento e número de sítios polimórficos e informativos para as análises dentro e entre espécies, o gene *ND5* parece, neste caso, ser o mais indicado para análises filogeográficas.

Com relação aos locos de microsatélite, estes se mostraram bastante variáveis, com grande número de alelos, comparados às espécies para os quais foram descritos (*Lontra canadensis*, Beheler *et al.* 2004, 2005; *Lutra lutra*, Dallas & Piertney 1998). Beheler *et al.* (2004, 2005) encontraram, em geral, menor número de alelos e maior heterozigosidade em *L. canadensis* em relação ao observado para *L. longicaudis*. Este fato, porém, pode ser um efeito do tamanho

amostral analisado para *L. longicaudis*, o qual pode não ter sido totalmente representativo da variabilidade nestes locos na espécie em questão. Dallas & Piertney (1998) encontraram números semelhantes de alelos, todavia, em menor nível de heterozigosidade do que o observado em *L. longicaudis*.

Estudos direcionados à análise e estruturação da variabilidade genética de populações naturais de *Lutra lutra* foram realizados em diversas regiões da Europa e Ásia (Dallas *et al.* 2002; Randi *et al.* 2003; Hung *et al.* 2004), os quais utilizaram alguns dos mesmos locos de microssatélite empregados neste estudo. De um modo geral, estes locos demonstraram-se tão ou mais variáveis em *Lutra longicaudis* do que em *Lutra lutra*, indicando que os marcadores selecionados são eficientes para a investigação de parâmetros populacionais naquela espécie.

As análises de mtDNA revelaram a existência de um padrão filogeográfico claro, sem compartilhamento de haplótipos entre as regiões. Este padrão é necessariamente decorrente de um extenso período de isolamento entre as populações ancestrais (Avise *et al.* 1987). Os resultados obtidos indicam a existência de quatro entidades filogeográficas, duas delas sustentadas por um conjunto amostral robusto e as outras duas representadas por apenas um indivíduo cada. Os dois maiores grupos de linhagens de mtDNA são representados pelo Clado 1, o qual compreende todas as linhagens brasileiras e uma proveniente da Guiana Francesa, e o Clado 2 incluindo todos os outros haplótipos encontrados na Guiana Francesa e Peru.

As duas outras linhagens de mtDNA são provenientes da Bolívia e da Colômbia. Coletado na Cordilheira central dos Andes, o indivíduo proveniente da Colômbia revelou conter a linhagem mais divergente, sugerindo que a Cordilheira dos Andes pode ser uma barreira ao fluxo gênico entre os indivíduos localizados a Leste e Oeste da mesma. Contudo, o tempo de divergência entre esta linhagem e o restante, parece bastante recente (644 mil anos atrás), indicando que o episódio de isolamento deste grupo foi posterior ao surgimento desta provável barreira.

O tempo de divergência entre *L. longicaudis* e *L. canadensis* datado foi cerca de 4.16 Ma. A divergência média entre o haplótipo Colombiano e as outras linhagens foi estimada em 0.644 Ma, enquanto que a separação da linhagem encontrada na Bolívia e dos Clados 1 e 2 foi datada em aproximadamente 450 mil anos. Estes dois clados apresentaram uma alopatria quase completa, exceto por um haplótipo geograficamente proveniente da Guiana Francesa incluído no Clado 1, predominantemente brasileiro. Este dado indica haver alguma conectividade (ao menos ancestral) entre as populações destas duas regiões, onde o sentido de colonização seria Norte–Sul, tendo em vista as posições dos haplótipos da Guiana Francesa e do Nordeste brasileiro (basal entre todas as seqüências do Brasil) no Clado 1. De especial interesse é a datação do tempo de divergência na base do Clado 1 (separação entre o haplótipo da Guiana e o grupo brasileiro de linhagens), a qual foi estimada em 0.422 Ma. A coalescência das linhagens brasileiras foi datada em cerca de 196

Ma. Além disso, os haplótipos da Guiana Francesa demonstram algum grau de sub-estruturação indicado pelas análises filogenéticas, com tempo de divergência datado em aproximadamente 176 mil anos atrás.

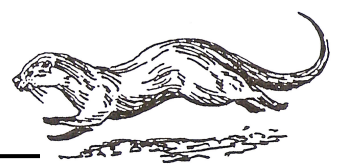
Apesar de Colômbia e Bolívia serem, cada uma, representadas por apenas um indivíduo, sua posição consistente nas várias análises e o alto grau de apoio aos principais ramos das árvores filogenéticas indica que há uma alta probabilidade de haver entidades demográficas distintas nestas regiões.

Resultados obtidos através das análises dos locos de microsatélite concordam com aqueles revelados pelo mtDNA, indicando haver clara separação entre o Brasil e o Noroeste da América do Sul (apoiado pelo alto valor de  $F_{ST}$  e pelos testes de associação). Entretanto, devido ao pequeno tamanho amostral, não foi possível testar cenários mais refinados de estruturação geográfica da variabilidade genética destes marcadores. Fluxo gênico no sentido Norte-Sul também pode ser inferido, revelado pelos testes de associação, os quais indicaram haver um indivíduo com localização geográfica na Guiana Francesa e que apresentou maior similaridade de alelos com as amostras brasileiras.

Entretanto, estudos mais aprofundados e com maior tamanho amostral devem ser conduzidos em diferentes escalas geográficas, a fim de desvendar padrões ainda desconhecidos e também confirmar se estas subdivisões devem ser interpretadas como Unidades de Manejo (MUs) ou Unidades Evolutivamente Significativas (ESUs), merecendo considerações próprias em iniciativas de conservação.

É importante definir quais populações devem ser consideradas separadamente para fins de conservação, de modo a não interferir na distribuição geográfica da diversidade genética formada através dos processos históricos. Esforços isolados voltados para a conservação da espécie estão em desenvolvimento, abordando especialmente aspectos ecológicos, enquanto persiste uma carência significativa de informações sobre a composição genética das populações naturais de *Lontra longicaudis*. A identificação detalhada de unidades populacionais com diferenciação genética e/ou demográfica ao longo da distribuição da espécie é extremamente importante para uma avaliação precisa da representatividade das áreas protegidas, e o desenho adequado de estratégias de conservação.

## **Capítulo IV - Referências Bibliográficas**



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